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U.S. CURRENCY AUCTION
AUGUST 15, 2019 | ANA | CHICAGO

PLATINUM NIGHT





Front Cover Lots: 20115, 20093, 20075, 20001, 20107, 20071

Inside Front Cover Lots: 20121, 20041, 20114, 20055, 20118, 20033

Inside Back Cover Lots: 20037, 20061, 20086, 20056, 20008, 20080, 20081, 20082

Back Cover Lots: 20073, 20116, 20023, 20049, 20062, 20105



U.S. Currency – Platinum Night

August 15, 2019 | Chicago

FLOOR Signature® Session

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Donald E. Stephens Convention Center • Room 10
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Thursday, August 15 • 6:00 PM CT • Lots 20001–20123

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Saturday, August 17 • 9:00 AM - 12:00 PM CT

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Platinum Night

Order of Auction

	Start	End
Confederate Notes	20001	20006
Federal Bonds	20007	20011
Federal Proofs	20012	20015

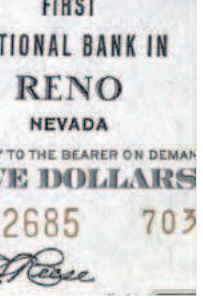
Large Size Type Notes

Demand Notes	20016	20020
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Interest Bearing Note		20032
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	Start	End
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CONFEDERATE NOTES



Average Circulated and Bright 1861 T2 Montgomery \$500

20001 T2 \$500 1861 PF-1 Cr. 2 PMG Very Fine 25.

Despite the mention of minor repairs, the paper quality is above average on this lovely Montgomery high denomination bearing serial number 382. The Montgomery notes were ordered in early March 1861, weeks before the first shots were fired on Fort Sumter. The original contract for production, which included coupon bonds, transferable certificates of stock, and Treasury Notes, was set with New York's American Bank Note Company who, in turn, turned the Treasury Note portion of the order over to National Bank Note Company. National was under intense pressure to design, produce, and deliver these notes quickly and used stock pre-war vignettes as one means of compressing the production schedule. There was no time for new vignettes to be designed and engraved, so they relied on vignettes from other antebellum notes from both the North and the South. Due to the numismatic and historical importance of the Montgomery notes, the designs most closely associated with these types and in many ways perfectly reflected the essence of the South. The design here is called The Crossing and was engraved by James Smillie. While cotton and tobacco are the first agricultural products associated with the Confederacy's economy, cattle was a large part of the economic output, with an estimated 7 million head, 30% more than the Union's stock.

This particular note was issued and pressed into service by CH Jones and presented in New Orleans Jan. 28, 1862. The deep color is particularly impressive and the assigned grade appears spot on.

Starting Bid: \$12,500



Lynchburg, VA Military Endorsement \$100 T3

20002 T3 \$100 1861 PF-2 Cr. 3 PMG Extremely Fine 40.

One of the iconic notes of the Confederacy, this \$100 was part of the initial series of notes ordered by the Confederacy, through G.B. Lamar, with the ABNCo in New York in March, 1861. Because ABNCo was focused on producing other parts of this large order, it sublet the Treasury Note portion of the order to National Bank Note Company. This first issue comprised four denominations of interest bearing notes, the \$50, \$100, \$500, and \$1,000. In order to expedite deliveries, National Bank Note Co. used pre-war vignettes exclusively, including the train in the station and *America* vignettes on this note. They did make some interesting modifications to the *America* vignette, removing and widening the bars on the shield to “de-federalize” it and removing or fading a number of the stars on the robe to make it appear less “star spangled.” This note was part of a second shipment of 1000 two-subject \$50-\$100 sheets that was placed on April 12-13, 1861, and was shipped on or about April 22-24, 1861. According to several sources, this shipment was almost intercepted by federal authorities on the docks in New York City, but was received in Montgomery, AL on or about May 1, 1861. Federal authorities raided the printing plants of both banknote companies on April 25, putting an end to any further shipments from either company.

This note also has an interesting endorsement on the back that we have seen on several Montgomery notes. Your cataloger’s take on this endorsement is “Office of Com(issary) & Sub(sistence). / Lynchburg VA May 20th 1861 / This day paid out / ?? Williams / Capt. & ??” This means that Williams, who was issuing a significant number of Montgomery notes to the field at the time, had this note in circulation a scant four days after it had been processed and released by the Department of the Treasury.

Bearing serial number 1020, this note is part of the census which numbers around 192 examples today. J. Wayne Hilton has established its provenance as far back as 1957 when Charles “Suitcase Charlie” Foster of Rushville, NY, once ANA Librarian and Curator, consigned it to a Federal Coin Exchange auction that was held on January 10-13, 1957. It realized \$200 in that sale. This is a lovely note without repairs or condition distractions that is printed on bright paper and is well inked. Certain to be one of the featured highlights of any collection fortunate enough to include it.

Starting Bid: \$10,000



Pleasing T3 \$100

20003 T3 \$100 1861 PF-2 Cr. 3 PMG Very Fine 20 Net.

This example was part of the second shipment of 1,000 two-subject sheet notes that was nearly confiscated by federal authorities in New York City in April, 1861. National Bank Note Company used two stock vignettes for this denomination. The locomotive pulling into the station is a vignette used on a number of state bank issues both Northern and Southern before and during the war. The other vignette is entitled *America* and again was used on a number of antebellum state bank issues. The NBNC Co made several subtle adjustments to the vignette from its pre-war appearance. Many of the stars on the drapery were either removed or muted so as not to give the illusion of a "star spangled banner," and the shield by *America's* right hand was modified to have fewer and wider bars than the original, blurring the concept of a federal shield. Later when this vignette was used for T41 \$100s, the Liberty Cap and the fasces, symbol of strength through union, were removed entirely. An endorsement on the back by CSA Assistant Treasurer at New Orleans A.J. Guirot is dated June 5, 1861. This is followed by a couple of other endorsements. A nicely executed restoration along the right edge is observed and it remains a pleasing example of the type.

Starting Bid: \$3,000



Lynchburg, VA Military Endorsement \$50 T4

20004 T4 \$50 1861 PF-2 Cr. 4 PMG About Uncirculated 50.

The \$50 was the lowest denomination of the first series issued by the Confederacy in the first weeks of the Civil War. Printed by National Bank Note Company under a subcontract from ABNCo, this note featured the famous *Slaves Hoeing* vignette which had appeared on antebellum state notes and was to later appear on Type 41 \$100 CSA Treasury Notes in 1862.

Bearing serial number 820, this note was part of a second order for 1,000 \$50-\$100 two subject sheets placed around April 12-13, 1861; literally the day that hostilities commenced. The order barely made it out of New York Harbor around April 22-24, with federal authorities failing in an attempt to stop the ship carrying this order from leaving port. According to Thian, serial 820 was processed by the Department of Treasury on May 14, 1861. Based on the endorsement on the back that reads: "Office of Com(issary) & Sub(sistence) / Lynchburg, VA May 20, 1861 / This day paid out / ?? Williams / Capt. & acs(?)" this note was placed into circulation a mere six days after it was processed. Williams issued a significant number of Montgomery notes to the field during this time period.

The census for T4s, now stands at around 188 notes known, with this note being part of the census. J. Wayne Hilton has traced the provenance of this example as far back as a Stack's auction held on September 9-11, 1987 when it was consigned by L.S. Ruder. This note realized \$1,265 in that sale. PMG notes a few scattered very small pinholes as well as some evidence of previous mounting which is quite subtle. Printed on bright paper without any repairs or significant distractions, this striking note will find a place of honor in any Confederate collection receiving it as an addition.

Starting Bid: \$8,750



Elusive T27 1861 Confederate \$10

20005 T27 \$10 1861 PF-1 Cr. 221 PCGS Very Fine 20 CC.

T27 \$10s were printed by Hoyer & Ludwig with a minuscule quantity of 8,576 notes being issued for only a span of nine days in November and December, 1861. The reason for such a short run remains a mystery, with some experts speculating that a defective or broken lithography stone may have been to blame. Fricke's census for the Ab plate notes totaled 79 examples in 2014 and today stands at around 82 known notes, making this type rarer than even the high profile Montgomery issues. As Fricke notes: "This type is extremely rare in grades above Fine and very rare even at the Fine level."

As is the case with almost every example of this design type, which was printed on lower quality paper, this note has a few issues. It has been hammer cancelled and the cancels have been sealed. In addition, PCGS mentions repairs and stains. Nonetheless, this is still a decent, fully intact note that faces up well that is in well above average condition for this type.

Starting Bid: \$3,750



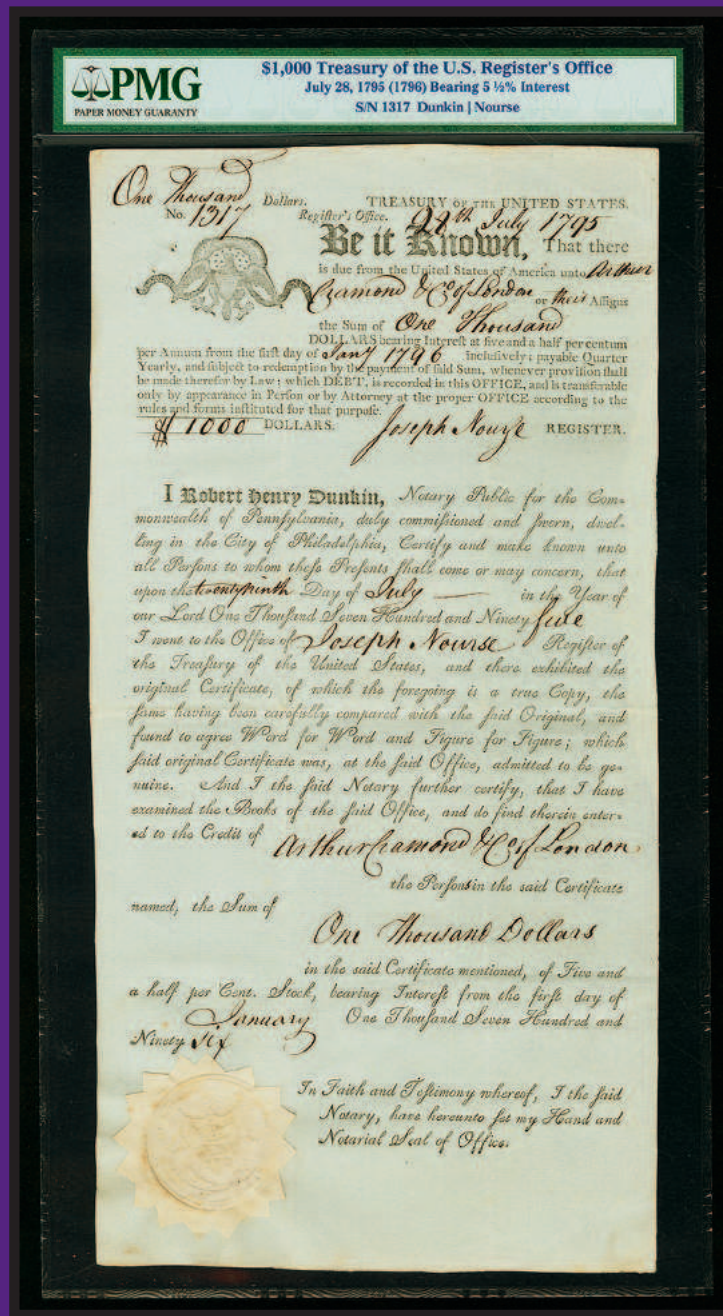
Possibly Unique 1864 T64 \$500 Mismatched Serial Number Error

20006 T64 \$500 1864 PF-2 Cr. 489 PMG Choice Very Fine 35.

Most Confederate notes throughout the entire Civil War were not only signed by hand but also numbered by hand as well. The Confederate Department of Treasury had great difficulty designing a reliable numbering machine and, as a result, machine-printed serial numbers only appeared on the two 50¢ designs as well as the two highest 1863 denominations (\$50, \$100) and the three highest 1864 denominations (\$50, \$100, and \$500.) Printed serial number errors are very rare; we have only offered three in the last two decades or so. This \$500 has mismatched serial numbers 33527 and 33528. It is the only example of this error of which we are aware, with the other two examples having either a partially rotated digit or a third serial number. The note itself is a pleasant mid-grade example that highlights this seldom seen error.

Starting Bid: \$3,000

FEDERAL BONDS



Replacement \$1,000 1795 Bond

20007 \$1,000 Treasury of the United States Register's Office July 28, 1795 (1796) 5 1/2% Bond Hessler X55 Replacement.

A bond issue created from an act by the First Congress of the United States that made further provisions for the support of public credit and also for the redemption of the public debt. It is signed by Joseph Nourse, who during the Revolutionary War was the military secretary for General Charles Lee. Nourse became the first Register of the Treasury in 1781. He served 48 years in that capacity until he was removed from office by President Andrew Jackson in 1829. Robert Henry Dunkin's notary public seal for the Commonwealth of Pennsylvania is at lower left. Interestingly, this partially printed bond is actually a replacement and thus the wording of the bond at top (Hessler X55) is followed by Dunkin's notary public statement. A similar replacement is plated on page 62 of *An Illustrated History of U.S. Loans 1775-1898* by Gene Hessler. This example has been encapsulated, but not graded by PMG.

Starting Bid: \$15,000

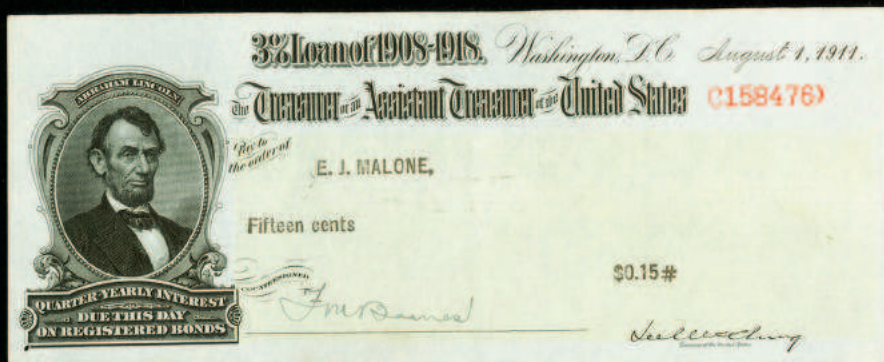


Rare \$1,000 Consol of 1865-68

20008 \$1,000 Consolidated Five-Twenties Consols of 1865-68 6% Registered Bond Hessler X150D Very Fine.

A rare registered bond that is not pictured in *An Illustrated History of U.S. Loans 1775-1898* by Gene Hessler. This entire bond issue is rare as the Hessler reference has only the \$50 and \$10,000 denominations plated and that is with Proofs. The \$100, \$500, and \$5,000 denominations are unknown and are not pictured. He does state that a single \$1,000 example has been observed at the Bureau of the Public Debt. However, no picture accompanies this statement. The \$1,000 bond in this lot may be the only X150D available to the collecting community. This bond was made payable to Jacob Crouse on November 2, 1866. Mr. Crouse assigned the bond over to the Columbia Fire Insurance Co. of New York on September 18, 1869. Interestingly, at bottom center is the motto, "In God Is Our Trust." A few small edge tears are observed along with several pinholes. Overall, this is an opportunity that may not be repeated.

Starting Bid: \$10,000



\$20 Spanish-American War Bond of 1898

20009 \$20 Spanish-American War 3% Registered Bond of 1898 Hessler X188A Extremely Fine.

Registered bonds as a rule are rarer than coupon bonds and this is our experience as well. In fact, it is stated on page 347 of *An Illustrated History of U.S. Loans 1775-1898* by Gene Hessler that only 36 of these 1898 registered bonds for the \$20 denomination are outstanding. Also, there are only 47 outstanding for every denomination of the 1898 registered issue. In all probability very few, if any others, of that number have survived until the present day. This registered bond is the smallest denomination for this patriotic issue that was to help provide the financial support for the Spanish-American War. It only took \$20 to buy a bond and support the troops in this far-flung war. This unredeemed bond measures approximately 12.5 by 6 inches and it has a blind embossed Treasury Seal at center. It was registered to one E. J. Malone and included in this lot is an uncashed quarterly interest check dated August 1, 1911 for 15¢ made payable to Mr. Malone. It is highly unusual for an interest check for a bond and the bond to remain together. The check grades AU and both the bond and the check have a tack hole at lower left. Overall, a great pair of items for the advanced bond collector. (Total: 2 items)

Starting Bid: \$10,000



Issued \$5,000 Registered Bond of 1898

20010 \$5,000 Spanish-American War 3% Registered Bond of 1898 Hessler X188E Very Fine.

Wartime sentiment was high and this patriotic bond issue was subscribed many times over. Still, Hessler only has Specimens plated for the different denominations. Any registered bond from this issue that has survived is a definite rarity. This rare bond was issued on November 10, 1898 to the James River National Bank of Jamestown, North Dakota, charter number 2580. It was assigned over to Burnett & Co. on January 21, 1899. Later, when it was redeemed, it was pen and hole cancelled through the signatures of the Treasury officials. Luckily, the HOC did miss almost all of the pen signature of Register of the Treasury J.W. Lyons. A few small edge tears and several pinholes are noticed along with, "Office of Register of Treasury Reg. 31,442" in pencil within the back upper margin. This is still certainly a nice bond for the advanced collector.

Starting Bid: \$10,000



Eighty Coupons Attached \$20 Spanish-American War Bond

20011 \$20 Spanish-American War 3% Coupon Bond of 1898 Hessler X188G Very Fine.

An attractive example with nice color that has its full complement of eighty 15 cent coupons. There is some edge wear that also includes minor toning and a few scattered pinholes. These traits are very typical for bonds. The last Hessler X188G with 80 coupons that we auctioned graded PCGS Extremely Fine 40. It was one serial number removed from our current offering and it realized \$7,500 in April, 2019.

Starting Bid: \$2,500

FEDERAL PROOFS



Elusive \$2 1899 Silver Face Proof

20012 Hessler 187 Fr. 249 \$2 1899 Silver Certificate Face Proof PCGS Very Choice New 64.

An uniface black and white Proof where the open field to the left of the portrait has a light blue tint. It lacks plate letters and the blue overprint, but remains a faithful rendition of the adopted design with engraved Lyons-Roberts Treasury officer signatures. It is mounted on a wide margined card as made. Treasury control number on the back is a rubber stamped "558013" where the final digit has been partially traced in pencil and then a "3" has also been pencilled above it. This is only the second Fr. 249 Proof that we have ever offered. The earlier Proof offering lacked the blue tint fields and was mounted on a large card. It graded a raw New and realized \$4,700 in August 2014. Our experience tells us that Proofs of this Washington \$2 design are especially elusive, but possess a lot of bang for the collector buck.

Starting Bid: \$2,500



Impressive \$5 Educational Face Proof Quartet

20013 Fr. 268 \$5 Silver Certificate Face Proofs Four Examples.

The earliest Face Proof/Engraved Essay in this lot is a Hessler SCE11 printed on India paper. It is a nearly complete face design without signatures plus it carries a date of "1891." Hessler states on page 110 of *U.S. Essay, Proof and Specimen Notes* that this date is a bit of a mystery. Designer Thomas Morris did not start working for the BEP until 1893, but the date may have been arbitrarily chosen for this preliminary design. This previously mounted example grades **PMG Uncirculated 60** and has a pencil notation of "19CLN84" at back upper right and was once part of the Harry W. Bass Jr. Collection. The second Face Proof is a Hessler SCE12 printed on India paper. It has an 1895 date and builds upon the earlier discussed SCE11 with added engraved Tillman-Morgan signatures and also filling in previously unprinted areas with more engraving. It grades **PCGS About New 50**. The third Face Proof is from 1896 and is similar to Hessler 358, but lacks engraving of certain areas on the edges of the central vignette of *Jupiter* at left and both *Fame* with her trumpet and *Peace* at right supporting at center, *Electricity Presenting Light to the World*. This example was printed on India paper and is mounted on cardstock. It was once itself mounted, too. This Proof was previously once part of the estate of the designer and engraver of this note, Thomas F. Morris. Later, it became part of the Harry W. Bass Jr. Collection. This Proof grades **PCGS Very Choice New 64**. The previous three Proofs depict *Electricity* and *Fame* as partially clad with exposed breasts, while the fourth Proof in this lot shows *Electricity* and *Fame* fully clothed. This was a reaction due to letters to newspapers and the BEP that the design was insulting due to the partial nudity. The fourth Proof is from 1897 and is not listed in Hessler, but is similar to Hessler SCE20, except that our offering has less engraving. This time *Electricity* and *Fame* have been given makeovers with more clothing. This Proof was printed on India paper and mounted on cardstock. Next, the cardstock was mounted with two stamp hinges. It grades **PCGS Choice New 63** and was once part of the Harry W. Bass Jr. Collection. This is an impressive four Proof lot of a design that was once viled, but today is held in high esteem. (Total: 4 notes)

Starting Bid: \$15,000



Framed Presentation Set of Federal Reserve Proof Notes

20014 Framed Presentation Set of Federal Reserve Note Proofs

Ten Note Proof Presentation Set, Presented by Secretary of the Treasury William G. McAdoo on December 21, 1914.

On December 23, 1913, President Woodrow Wilson signed into law the Federal Reserve Act, which transformed the American monetary system. Central to that effort was a Virginian named Milton Courtwright Elliott, who served as the Secretary to the committee that prepared the report which laid out the basis and rationale for the law that Congress passed establishing the Federal Reserve System. Soon thereafter, Joseph E. Ralph, the Director of the Bureau of Engraving and Printing, was assigned the task of designing and producing the new Federal Reserve Notes. During the next year, various prototype designs were produced until a standardized design was finalized in the Fall of 1914. As a part of the design process, a small quantity of Proof examples was produced. These Proofs were printed from the actual currency plates onto card stock, with the Treasury seal and the all zero serial numbers glued on by hand by the pressman. In honor of Elliott's work, and in recognition of the efforts of Virginia Representative Carter Glass in sponsoring the legislation, Secretary McAdoo decreed that the first of the new Federal Reserve Notes would bear the Richmond Federal Reserve District seal.

Gene Hessler, in his book *U.S. Essay, Proof & Specimen Notes*, states that the 1916 "Annual Report of the Bureau of Engraving & Printing" notes that only two complete sets of Proofs were produced, although it is likely that either three more sets were unofficially created or that other Proofs were combined to make at least three more sets, as only five complete sets are said to exist today. The set we offer tonight is new to the numismatic market and was presented by Secretary McAdoo to Mr. Elliott, who not only served as secretary to the committee which drafted the legislation establishing the Federal Reserve System but then served for over a decade as counsel to the newly established Federal Reserve Board. As with all of the sets we have ever seen, this set has nine evenly aged notes with the tenth note, the face example of the \$100 note, perfectly bright. Interestingly, the one bright note in each of the other sets is different in each set, which certainly is a strong indication that for whatever reason the sets were presented in that fashion. With the current demand for Federal Proof notes at an all time high, expect this historically significant presentation example to easily reach and possibly well exceed...

Reserve: \$12,500



\$1,000 1918 FRN Back Proof

20015 Hessler 1429 Fr. 1133 \$1,000 1918 Federal Reserve Note Back Proof PCGS Choice New 63.

This is the first Proof for either the face or the back for Fr. 1133 that we have auctioned. This example is one of three different Back Proofs of this design with a population figure of one each as described by Gene Hessler on page 200 of *U.S. Essay, Proof and Specimen Notes*. This piece was printed on India paper and mounted on cardstock. It is hole punch cancelled with small holes. Two paper only hole cancellations are in the top border and the left and right borders have three hole cancellations each with two penetrating both the paper and cardstock and the other only the paper. The back design is as issued.

Starting Bid: \$3,750

LARGE SIZE

DEMAND NOTES



Attractive and Original Fr. 3 \$5 Demand Note

20016 Fr. 3 \$5 1861 Demand Note PCGS Very Fine 20PPQ.

A very respectable, well margined, boldly signed Boston District \$5 Demand Note. Track & Price indicates 114 examples are known, but many of them are either low grade or have a litany of flaws. This offering, however, is a small miracle of survival in that with the amount of circulation it has no problems whatsoever and retains original surfaces. The paper used was thin and weak, and edge tears, holes, etc. are nearly ubiquitous on this type. A solid note that will certainly please its new owner.

Starting Bid: \$2,500



Extremely Rare Cincinnati \$5 Demand Note - One of Only Seven Known

20017 Fr. 4 \$5 1861 Demand Note PMG Fine 12.

To date, only seven reported survivors are known of this scarce Friedberg number. The note was payable at the Cincinnati Treasury Office and with no "for the" varieties known to collectors, it is the scarcest of the different locales engraved on the 1861 \$5 Demand notes. This Fine 12 example is tied for the highest graded at either service. There was a report of a higher grade piece over 30 years ago, but it has yet to resurface. PMG has noted some minor staining and a missing corner tip but those minor flaws are of little to no importance on a note of this rarity. The colors remain wonderfully strong and both signatures are well applied, dark and fully legible. Friedberg states that, "the green reverses of the Demand Notes are the origin of the term 'Greenback' as applied to U.S. paper money in general." An important opportunity for anyone attempting a Friedberg number set or a collector with a simple appreciation for extreme rarity.

Starting Bid: \$6,250



Ex-Grinnell Fr. 6 \$10 1861 Demand Note

20018 Fr. 6 \$10 1861 Demand Note PMG Fine 12.

PMG has two comments on the back label, "Ex Grinnell" and also split repairs. Besides once being part of the famed Grinnell Collection that was auctioned in the 1940s, this note was plated in the early Limpert reference. It was also part of the Thomas Flynn Collection that we auctioned in April, 2008. The note itself is far nicer than the assigned Fine 12 grade. Both penned signatures are strong, the colors of the note are excellent, and the margins are generous everywhere save for the bottom left end. Fr. 6 is a rare number with only 47 documented pieces known in all grades combined. The highest graded piece in the Track & Price Census is a PCGS 35, and this attractive mid-grade 12 is actually well in the top half of the known pieces.

Starting Bid: \$3,000



Fr. 7 1861 \$10 Philadelphia Demand Note in Comment Free Holder

20019 Fr. 7 \$10 1861 Demand Note PMG Fine 12.

A well signed Demand Note payable at Philadelphia in a comment free holder. It is the nicest looking example for the Friedberg number we have offered in some time. Only 45 examples are known of this Philadelphia issued \$10 Demand note and it is attractive enough to garner considerable bidder interest here tonight.

Starting Bid: \$2,500



PCGS Apparent Very Fine 20 \$10 Boston Demand Note

20020 Fr. 8 \$10 1861 Demand Note PCGS Apparent Very Fine 20.

Track & Price lists 40 examples of this Ten Dollar Boston Demand Note and has no record of any ever having been graded better than XF. Those XF offerings were notes that have yet to be graded by PMG and PCGS. With some leniency offered to Demand Notes in past auctions, it is likely that the XF examples known may also score no better grade than VF from the third party services today. This offering exhibits pleasing color and even wear with just a few minor edge restorations.

Starting Bid: \$3,000

LEGAL TENDER NOTES



Gem \$10 Jackass Rainbow

20021 Fr. 96 \$10 1869 Legal Tender P.C.S. Gem New 65PPQ.

This magnificent Gem Rainbow Ten has terrific colors, excellent centering and margins, and all the beauty that one would expect at the grade level. The back centering on this type can often be poor, since there is not much room at the top and the bottom because of the large size of the back design, but this note is a pleasant exception as it possesses better than average margins all the way around on the back.

Starting Bid: \$5,000



The Third Finest Graded Example Of Only Fourteen Pieces Known

20022 Fr. 97 \$10 1875 Legal Tender P.M.G. Very Fine 30.

Barely a dozen Fr. 97's are known, and there is not a single uncirculated piece among them. Of that number, only a PMG Fine 12 example has been publicly available in past six years. This note, which was once part of the Tom Flynn collection that we sold in 2008, is easily within the top half in the census. It is tied for the finest graded by PMG and features excellent color, ample margins, and even wear. An outstanding rarity that, if it were a U. S. coin, would undoubtedly sell for a six-figure amount.

Starting Bid: \$6,250



Near-Superb 1923 \$10 Legal Tender

20023 Fr. 123 \$10 1923 Legal Tender PCCS Gem New 65PPQ.

Over nine years have elapsed since we last offered an example of this always popular issue in this grade. That PMG Gem Uncirculated 65 EPQ Fr. 123 we sold back in 2010 brought \$15,525. We see no reason why that figure may be eclipsed here this evening by this lovely and fresh note.

Starting Bid: \$7,500



Brightly Inked 1923 \$10 Legal Tender

20024 Fr. 123 \$10 1923 Legal Tender PMG Choice Uncirculated 64 EPQ.

An evenly margined note that is highlighted by four sharp corners. The bright white paper on this near-Gem is ideal with full embossing and deeply printed colors complementing it. The Bureau of Engraving and Printing produced a mere 696,000 \$10 Legal Tenders for the 1923 Series, all of which were released into circulation. Fortunately for collectors, this piece from near the end of the run was quickly put aside after nearly a seven decade run for the \$10 Legal Tender denomination.

Starting Bid: \$5,000



1869 Rainbow Twenty with Full Original Colors

20025 Fr. 127 \$20 1869 Legal Tender PCGS Choice About New 58.

Beautifully margined and with the overall eye appeal of a Superb Gem. Undoubtedly, PCGS Currency spotted a light fold somewhere that we are absolutely unable to locate through the holder. We trust the accuracy of the grade, but the eye appeal is that of a Gem New note. One of the best looking examples of this scarce piece that we have had the pleasure of handling.

Starting Bid: \$10,000



Gem 1880 \$20 Legal Tender

20026 Fr. 135 \$20 1880 Legal Tender PMG Gem Uncirculated 65 EPQ.

A bright and well printed example that would look equally at home in a 66 holder. We see no reason why this lovely beauty should not easily approach our estimate here this evening.

Starting Bid: \$2,500



Scarce 1880 \$100 Legal Tender - One of Ten Known

20027 Fr. 173 \$100 1880 Legal Tender PMG Very Fine 20.

A very scarce Friedberg number with just ten pieces recorded in the Track & Price census with nothing with claims to the Very Fine grade having been publicly offered in over a dozen years. This example, which last crossed the auction block in our 2012 Dallas ANA session, maintains attractive color for the grade although it has had some repairs and a small area of discoloration on the back. This specimen, which could easily be the finest of its number available for years to come, should certainly realize...

Starting Bid: \$8,750



Seldom Offered Fr. 178

20028 Fr. 178 \$100 1880 Legal Tender PMG Very Fine 25.

Over two years have passed since we have had the opportunity to offer an example of this very scarce 1880 \$100 Legal Tender. It is one of only seventeen examples in the census, with five of the seventeen pieces permanently impounded in Federal Reserve Bank collections, the Smithsonian, and the American Numismatic Association collection. With a third party grade of Very Fine 25, it ranks as one of the finest of the few pieces still available to collectors. This piece, despite some light stains mentioned by PMG, boasts vivid colors and design details along with sharp corners and four healthy margins. The last specimen we offered in 2017 was a PMG Very Fine 30 Net example with tape repairs that fetched \$22,325. This attractive note should approach that level.

Starting Bid: \$10,000



Vibrant, Evenly Circulated 1880 \$100 Legal Tender

20029 Fr. 181 \$100 1880 Legal Tender PMG Very Fine 25.

From our 2017 ANA auction where it was described, "With a total known Track & Price census of 46 examples, Fr. 181 is the most available of the 1880 Hundred Dollar Legals and therefore an ideal note to represent the type. PMG has noted, 'Closed Pinholes' on the holder, which do not affect the design elements too much with the few most visible in open areas on the back. The appearance of this note remains quite attractive overall and it will be well received by collectors this evening." We feel this Lyons-Roberts signed note will achieve a similar realization here this evening and perhaps a touch more.

Starting Bid: \$8,750

COMPOUND INTEREST TREASURY NOTES



Rarest \$10 Compound Interest Treasury Note Friedberg Number

20030 Fr. 190 \$10 1863 Compound Interest Treasury Note PCGS Apparent Very Fine 25.

This is by far the rarest of the \$10 Compound Interest Treasury Notes, with this piece bearing the issue date of June 10, 1864. Only 16 examples are known, with just two having higher grades. The other two Friedberg numbers associated with this design are 190a and 190b, and their populations stand at 24 and 104 examples respectively. This was actually a circulating Legal Tender note that bore interest at six per cent and was only redeemable in full to the tune of \$11.94 at the end of the three year circulating period. As the Civil War raged on and the country sank deeper into debt financing the war, this class of notes in \$10, \$20, \$50, \$100, \$500, and \$1,000 denominations was issued by an act of Congress to help raise much needed funds. An Apparent grade was given for damage and repairs, pieces missing and added, and the design redrawn. The pieces missing and added are all small, and we are still looking for the redrawn design feature. A rare and attractive note that deserves strong consideration.

Starting Bid: \$3,750



Lovely and Rare Fr. 190a

20031 Fr. 190a \$10 1864 Compound Interest Treasury Note PMG Very Fine 25.

A mere two dozen Fr. 190a notes are recorded in Track & Price. This population number has barely increased since we originally auctioned this example in May, 2003. Only three of those 24 serial numbers are graded higher in T&P than our current offering and that trio is just five grade points higher. This is a lovely representative for the grade even with the minor restorations that are mentioned on the third party label. They are truly minor and are tiny closed edge splits. The paper remains white and the inks dark, while the bronze overprint has toned nicely. This resulted in an example with plenty of eye appeal.

Starting Bid: \$3,000

INTEREST BEARING NOTE



Third Offering in 44 Years

20032 Fr. 196a \$10 1863 Interest Bearing Note PMG Very Fine 20.

The earliest appearance of this note that we know about was when Amarillo paper money dealer M.H. Loewenstern had it listed with its serial number for \$1,100 in his advertisement in the March-April 1975 Whole Number 56 *Paper Money Society of Paper Money Collectors*. It would not resurface until bought by our consignor at auction in 2013. This is one of only 31 serials documented in Track & Price for this rare Friedberg number. This example has minor repairs and has been trimmed, but the color is nice for the grade and there are fewer folds than what is usually seen at this grade.

Starting Bid: \$4,000

SILVER CERTIFICATES



Near-Superb Vernon-McClung Fr. 229a 1899 \$1 Silver Certificate

20033 Fr. 229a \$1 1899 Silver Certificate PMG Gem Uncirculated 66 EPQ.

A pleasing example of what used to be the rarest Black Eagle type overall, although in this grade it certainly is not easy to locate. Fr. 229a remains the toughest by far of the Black Eagles in top grade. Fr. 231 is very slightly rarer but far more available at the top end of the grading scale. This note is currently tied with four other notes for finest known at PMG. PCGS has not graded anything higher than 65PPQ. It is as pretty as it is rare with bold inks, great centering, and freshness for the type that is not often seen.

Starting Bid: \$8,750





Elusive Woods-Tate/Woods-White Reverse Changeover Pair

20034 Fr. 239/Fr. 238 \$1 1923 Silver Certificates Reverse Changeover Pair PCGS Extremely Fine 40PPQ/ Extremely Fine 45PPQ.

An elusive reverse changeover pair which is being offered by us here for the first time. This pair could possibly well be unique. Any estimate is but a guess but we feel this pair should finish near... (Total: 2 notes)

Starting Bid: \$3,750



Nicely Centered 1896 \$2 Educational

20035 Fr. 248 \$2 1896 Silver Certificate PMG Gem Uncirculated 66 EPQ.

A near-perfect example of this popular design featuring the vignette, "Science Presenting Steam and Electricity to Industry and Commerce" which was designed by Edwin H. Blashfield with engraving by Charles Schlecht and G.F.C. Smillie. The back engraving features portraits of Robert Fulton and Samuel F.B. Morse. This piece is exceptionally well centered for the grade and the inks appear as fresh as the day the note was printed.
Starting Bid: \$5,000



Superb 1899 Agricultural \$2 Silver Certificate

20036 Fr. 258 \$2 1899 Silver Certificate PCGS Superb Gem New 67PPQ.

A fresh and original example of this popular issue that is printed on blast white paper giving the vignette of George Washington an extremely lifelike appearance. Notes for this Friedberg number in this grade are simply not as common as one would expect.
Starting Bid: \$2,500



Always Desirable 1896 \$5 Educational

20037 Fr. 268 \$5 1896 Silver Certificate PCGS Gem New 66PPQ.

Five dollar Ed's are seldom seen with the margins, color and eye appeal of this Gem note. It is easy to see why most collectors consider this type to be the pinnacle of United States Currency Design. The margins are perfectly even all around the face, and the back centering is very nearly equal to that of the face. Fully bright paper provides an ideal background for the extremely busy artfully constructed design. The allegorical theme on the \$5 Educational is Electricity Presenting Light to the World, also known as Electricity as the Dominant Force in the World. The design, featuring Electricity personified at center holding a lighted bulb illuminating several other allegorical figures, was adapted from a painting by Walter Shirlaw.

Starting Bid: \$6,250



Vibrantly Inked 1896 \$5 Educational

20038 Fr. 268 \$5 1896 Silver Certificate PCGS Gem New 66PPQ.

The female figures portrayed on the note are bare breasted which led to an outcry by society women in Boston and in turn led to many bankers refusing to accept the notes. The controversy over the \$5 Educational note has been cited by several sources as the origin of the phrase, "banned in Boston." Whether true or not, there is no disputing the beauty of this piece. The ornate back features portraits of Civil War Union Generals Ulysses S. Grant and Philip H. Sheridan. A very nice example of this always popular design type which ranks right up there as one of the nicest we have had the opportunity to handle.

Starting Bid: \$6,250



Choice New 63PPQ Fr. 269 1896 \$5 Silver Certificate

20039 Fr. 269 \$5 1896 Silver Certificate PCGS Choice New 63PPQ.

Ample margins are noticed on this strongly embossed note kept from a higher grade due to some corner issues possibly from being held as vault cash back in the day. Not as prevalent as its Fr. 268 counterpart which outnumbers it by well over four hundred more surviving examples known.

Starting Bid: \$5,000



Well Margined Gem \$5 Indian Chief

20040 Fr. 275 \$5 1899 Silver Certificate PCGS Gem New 65PPQ.

A deeply inked and outstanding example for the assigned grade bearing the Napier-McClung signature combination. The face margins are quite even although the back centering was the grade limiting factor on this otherwise well embossed note.

Starting Bid: \$2,500



Choice New 1899 \$5 Indian Chief Star Note

20041 Fr. 278★ \$5 1899 Silver Certificate PCGS Choice New 63PPQ.

A lovely replacement note that ranks as one of the finest third party graded examples available for the Friedberg number. The print quality is above average with the back centering likely the grade limiting factor.

Starting Bid: \$6,250



Fully Gem Five Dollar 1899 Silver Certificate Chief Note

20042 Fr. 280 \$5 1899 Silver Certificate PMG Gem Uncirculated 65 EPQ.

A broadly margined and brilliantly colored example of this second to last Chief signature combination. Both sides of the note are beautifully centered, and in spite of its high third party grade, it looks to us like one more point might have been warranted.

Starting Bid: \$2,500



Near-Superb \$5 1899 Indian Chief

20043 Fr. 281 \$5 1899 Silver Certificate PCGS Gem New 66PPQ.

A wonderful Indian Chief that is nicely apportioned boasting bold color and deep embossing of the overprint and serial numbers. This is a fresh entrant into the known population of \$5 Indians in this grade having not appeared at auction before. It is quite similar in appearance and quality to the example we offered in our 2019 FUN Platinum Night auction that realized \$7,800.00.

Starting Bid: \$3,500



1923 \$5 Silver Certificate Star Note

20044 Fr. 282★ \$5 1923 Silver Certificate PCGS Choice About New 58PPQ.

A brightly inked replacement note bearing a four digit serial number on the famed Porthole design. Less than 50 examples for the Friedberg number are enumerated in Track & Price. A soft fold likely accounts for the assigned grade on this strongly embossed piece.

Starting Bid: \$3,000



High Grade Fr. 294 Tombstone \$10 Legal Tender

20045 Fr. 294 \$10 1886 Silver Certificate PCGS Choice About New 58PPQ.

The nicest example of this number that we have been able to offer in over a dozen years. It has the initial look at least a Choice New within its holder and features great margins, flashy ink colors, and original embossing. Only upon very close examination can the slightest handling be located. It represents the much scarcer of the two large red Treasury Seal Friedberg numbers for the Tombstone design with just 83 pieces in the census. A great note for a high end Large Size type collection.

Reserve: \$12,000



Classic Rarity Triple Signature Fr. 308

20046 Fr. 308 \$20 1880 Silver Certificate PMG Very Fine 20.

All triple signature notes are classic rarities for large size collectors. We last saw this rare note at our January, 1999 FUN auction. The Track & Price Census has only eleven serial numbers enumerated for Fr. 308. It has a couple of minor repairs which were executed with skill and thus enhance the look of this mid-grade example. Also, this piece faces up at a little higher grade due to its brightness and strength of inks. Only three Fr. 308s are graded higher in T&P, making our current offering one of the best that one could find.

Starting Bid: \$11,250



Fr. 312 Decatur \$20 Silver PMG Very Fine 25

20047 Fr. 312 \$20 1880 Silver Certificate PMG Very Fine 25.

An appealing example of this tough type note that is one of just 35 serial numbers listed in Track & Price and is plated on page 105 of the Chambliss-Hessler tome. It is also one of the higher grade Fr. 312 notes and it dwells within a comment free third party holder. Notes at this grade level in problem free condition routinely crack the five-figure mark.

Starting Bid: \$4,500



Bright and Problem Free Diamond Back \$20 Silver Certificate

20048 Fr. 314 \$20 1886 Silver Certificate PMG Very Fine 25.

Even circulation and solid margins are noticed on this pleasing Large Brown Seal Silver Certificate exhibiting the ever popular "Diamond Back" design. It has been six years since a comment free example in this grade has been offered by us at auction. We last sold a PMG VF 30 graded example of this type in April of 2018 for \$9,600.00. A quick look at Track & Price also confirms this to be the lowest serial number to appear at public sale for the Friedberg number.

Starting Bid: \$3,750



Extremely Rare Fr. 326 \$50 1880 Silver

20049 Fr. 326 \$50 1880 Silver Certificate PMG Very Fine 20.

An extremely rare Friedberg number with this example bumping up the Track & Price Census to a meager six serial numbers. One of those six is held permanently in the San Francisco Federal Reserve Bank Collection. This is the first Fr. 326 that we have auctioned since January, 1996, and also the first to appear in any auction since 2013. There were only four known in January, 1996, and in the intervening 23 years, only two others have surfaced. This is one of the rarest items in this or any paper money auction and definitely a treasure to be pursued by the serious collector. This piece has been restored, but it still has a nice mid-grade appearance.

Starting Bid: \$30,000



Mid-Grade 1880 \$50 Silver Certificate

20050 Fr. 328 \$50 1880 Silver Certificate PMG Very Fine 20.

An attractive and problem free example of this tough \$50 Silver Certificate that we last handled in our 1997 FUN auction. It is one of just 26 pieces listed in Track & Price and that figure has held firm for several years. We also know that seven of the 26 are held by government and institution collections and several others have some flaws. This rare note is a happy exception and exhibits even wear, great color, and more than ample margins. Last year, we sold a PMG Choice Fine 15 specimen for \$20,400 and this superior offering should certainly exceed that number.

Starting Bid: \$10,000

TREASURY NOTE



Near-Gem 1890 Ornate Back \$10 Treasury Note

20051 Fr. 368 \$10 1890 Treasury Note PMG Choice Uncirculated 64 EPQ★.

A new serial number for the census that falls neatly within a run of Fr. 368s with most of those pieces having similar or higher grades. This beautiful Ornate Back Treasury Note \$10 from the D plate position sports white paper and ideal inks. Also, the wide bottom margin reveals on the back along the edge at center the upper part of a paper guide line arrow. We had a PCGS 64PPQ Fr. 368 realize \$5,880 in September, 2017. Tonight's slightly nicer example could bring considerably more.

Starting Bid: \$3,000

FEDERAL RESERVE BANK NOTES



High-Grade \$2 Battleship Cut Sheet

20052 Fr. 757 \$2 1918 Federal Reserve Bank Notes Cut Sheet of Four PMG Graded.

A high-grade cut sheet from a long time collection that has never before appeared at auction. All four notes have been well taken care of and exhibit strong embossing of the right serial number, Treasury Seal, and other devices. The dark inks and white paper also have the look of being fresh off the printing press. This is not surprising, as paper money collector and Assistant Cashier Charles Bickford, who was also head of the Cash Department of the Federal Reserve Bank of Cleveland at this time, was able to put aside low serial numbers. Today, we can thank Mr. Bickford for his foresight and adding to our hobby. The plate letter A note with serial number D437A grades **Gem Uncirculated 66 EPQ**, both the B and C plate letter examples with serial numbers D438A and D439A grade **Gem Uncirculated 65 EPQ**, and the plate letter D serial D440A piece weighs in at **Choice Uncirculated 64 EPQ**. A beautiful quartet that is worthy of a bid in the range of... (Total: 4 notes)

Starting Bid: \$6,000



Choice 64 Serial Number 6 Dallas Battleship

20053 Serial Number K6A Fr. 776 \$2 1918 Federal Reserve Bank Note PMG Choice Uncirculated 64.

A lovely low serial number note that combines the allure of coming from the second sheet of notes issued for the Dallas district with being bright and well margined for the assigned grade. Back in 2011, we sold the serial number K3A note for the Friedberg number for just shy of \$11,000. We certainly feel this piece should easily reach our estimate here this evening of...

Starting Bid: \$3,750



PCGS 67PPQ 1915 Kansas City FRBN - Tied for Top-Pop from Either Service

20054 Fr. 817 \$10 1915 Federal Reserve Bank Note PCGS Superb Gem New 67PPQ.

Tied with five others at the top of the PCGS census, this 67PPQ Kansas City 1915 FRBN is a real beauty with great color, broad margins, and tremendous eye appeal. The last time an identically graded note crossed the auction block was last year when another specimen realized \$14,400. PMG has yet to report a note of this Friedberg number above 66.

Starting Bid: \$6,250



Fr. 824 Series 1915 \$20 FRBN - Tied for Finest Known

20055 Fr. 824 \$20 1915 Federal Reserve Bank Note PCGS Gem New 66PPQ.

A spectacular example of this truly rare type, with this piece one of only two graded at this level by PCGS, with none finer. PMG has also graded only two examples at the 66 level, again with none finer. The centering is impeccable, the print quality as sharp as the day it left the Bureau, and the originality is evident right through the PCGS holder. If you desire and can afford the finest, this should be high up on your list of things to bring home tonight.

Starting Bid: \$13,750

FEDERAL RESERVE NOTES



Superb Fr. 1104 1914 \$100 Blue Seal

20056 Fr. 1104 \$100 1914 Federal Reserve Note PCGS Superb Gem New 67PPQ.

Experts have discussed the number of \$100 FRN Blue Seals known and are puzzled by the low survival rate, even compared to the \$100 Red Seals issued before them. Were it not for a couple of small runs of Atlanta \$100s, Uncirculated examples would be prohibitively expensive and seldom encountered. This note has four ample margins, virtually perfect face to back registration, along with strong embossing and paper originality.

Starting Bid: \$3,500



Near-Superb Atlanta District \$100 Federal Reserve Note

20057 Fr. 1104 \$100 1914 Federal Reserve Note PMG Gem Uncirculated 66EPQ.

Four very nice and even margins are Superb Gem in size making this Atlanta \$100 one of the top-end 66's available. Its easily seen originality is highlighted by bold and attractive paper and strong punch through embossing.

Starting Bid: \$2,500



New York District 1918 \$500 Federal Reserve Note

20058 Fr. 1132-B \$500 1918 Federal Reserve Note PMG Very Fine 30.

New York issued \$500 FRN's are the most common of all the districts with just over 50 examples reported, yet demand for the notes has increased substantially, as these high denomination notes make fewer and fewer auction appearances with each passing year. This attractive mid-grade specimen has had some repairs but its relatively bright paper is complemented by a deep blue overprint and deeply printed devices.

Starting Bid: \$6,250



One of Just Ten Known

20059 Fr. 1132-F \$500 1918 Federal Reserve Note PMG Very Fine 20 Net.

One of just ten examples reported for this number and a note that made its last public appearance in our 2010 FUN auction. Of the ten notes recorded, six are from a run of Uncirculated, or near Uncirculated pieces that have recent realizations of almost \$60,000. This piece was an unlikely survivor, spending time in the channels of commerce and still making it out of the Treasury's shredders. There is some rust and repaired tears noted, but this will hardly stop the individual hunting to fill the hole in their type set with a note that is also very rare.

Starting Bid: \$7,500



Bright and Original Chicago District 1918 \$500 Blue Seal

20060 Fr. 1132-G \$500 1918 Federal Reserve Note PCGS Very Fine 25PPQ.

This is a vibrant original type note as Chicago has the second most notes from its district listed in the census. It is also an attractive mid-grade note that retains deep color and strong embossing. High-denomination Feds are always extremely popular and this lovely example should have no problem finding a new home. We last offered it in 2013 as part of the *Magnolia Collection* where it realized \$18,800. In today's revived market that has seen a value increase for Large Size high denomination notes, we feel this figure will be easily surpassed here this evening.

Starting Bid: \$10,000



One of Just Four for the Friedberg Number

20061 Fr. 1133-A \$1,000 1918 Federal Reserve Note PMG Choice Fine 15.

An evenly circulated high denomination note bearing the popular Burke-Glass signature combination that is one of just four notes known for the Friedberg number with one of those four forever ensconced in the Smithsonian. In 2017, we watched a PMG 30 attain a realization of \$51,700. Tonight offers another collector the opportunity to add this elusive district for the \$1,000 denomination to their collection.

Starting Bid: \$12,500



Rare High Grade Philadelphia Series 1918 \$1,000

20062 Fr. 1133-C \$1,000 1918 Federal Reserve Note PMG About Uncirculated 53 EPQ.

A rare note in every respect is offered in this lot. Only 12,800 Series 1918 \$1,000 notes were printed and issued by the Philadelphia district bearing the Burke-Glass signature combination. The piece in this lot comes from a very small run of AU and Unc examples that account for a little more than half of the 14 surviving examples that are listed in Track & Price. This is our first offering of a Fr. 1133-C in five years and the nicest in over seven years. It is an attractive note as it has been able to retain its full margins, dark inks, white paper, and exceptional paper quality. With the light handling this piece has been subjected to it appears to face up as a fully Uncirculated note. High denomination examples such as this are always in demand as the combination of rarity and grade are quite evident.

Starting Bid: \$32,500



Series 1918 Chicago District \$1,000 Blue Seal

20063 Fr. 1133-G \$1,000 1918 Federal Reserve Note PMG Very Fine 25.

An attractive, moderately circulated \$1000 FRN that is well margined on both face and back, with the appearance of an even higher grade piece at first glance. It is one of only two dozen examples known for this Friedberg number and is a specimen that we last handled in our 1997 FUN auction. PMG notes minor restoration, but the repairs are minor and difficult to find on this much sought after high denomination example.

Starting Bid: \$6,250

GOLD CERTIFICATES



Teehee-Burke Signed 1907 \$10 Replacement Note

20064 Fr. 1172★ \$10 1907 Gold Certificate PCGS Extremely Fine 40PPQ.

A pleasing replacement note for the Friedberg number that is quite colorful with a very bright orange back still present. It offers excellent eye appeal and the originality is not easily missed. Less than 20 examples are enumerated in the Track & Price census.

Starting Bid: \$3,000



Tied for Finest Known \$10 Small Serial Number Variety

20065 Fr. 1173a \$10 1922 Gold Certificate PMG Gem Uncirculated 66 EPQ.

A gorgeous, well centered note that is tied with four other examples at this grade level at PMG with none higher. Strong embossing is easily noticed through the holder and the colors are bold and the print quality quite sharp. Certain to achieve a strong realization this evening.

Starting Bid: \$3,500



Small Serial Number Fr. 1173a - Very Rare at the Gem Level

20066 Fr. 1173a \$10 1922 Gold Certificate PMG Gem Uncirculated 66 EPQ.

Track & Price shows a population of only 396 examples of this small serial number variety compared with almost 11,000 of the large serial number notes. PMG's population report shows this and four others at this 66 EPQ level, but no others above the 64 EPQ grade. The note itself is lovely with clearly evident deep, original embossing and 100% perfect color of the inks and paper. A true rarity at this grade level.

Starting Bid: \$3,500



Colorful Mid-Grade Technicolor \$20 Gold Certificate

20067 Fr. 1180 \$20 1905 Gold Certificate PMG Extremely Fine 40.

A pleasing lightly handled \$20 Gold Certificate that boasts deep color for its assigned grade. At first glance, it appears to be a higher grade example in the holder. PMG has even commented "Good Embossing" leading one to speculate why the note was not given the EPQ modifier which, upon closer inspection, it so richly deserves. A lovely note which will realize more than your average XF Technicolor at auction.

Starting Bid: \$6,250



Bright and Original 1906 \$20 Gold Certificate Star

20068 Fr. 1186★ \$20 1906 Gold Certificate PCGS Extremely Fine 45PPQ.

A lovely note last appearing at public auction almost nine years ago. It is tied with another at PCGS in this grade, being one of the finest known. Nice paper wave is noticed along with bold color and strong embossing.

Starting Bid: \$4,000



1922 \$50 Gold Certificate Star Note

20069 Fr. 1200★ \$50 1922 Gold Certificate PMG Choice Very Fine 35.

We last sold this note back in 2011 for \$7,475.00. Currently this is likely the finest known example of the Large Serial Number variety available to collectors. It is one of just 14 for the Friedberg number enumerated today in the Track & Price census. We feel this bright and colorful replacement should easily exceed our estimate.

Starting Bid: \$3,750



Second Finest Known 1922 \$100 Star Note Ex-Amon Carter

20070 Fr. 1215★ \$100 1922 Gold Certificate PCGS Extremely Fine 45PPQ.

About thirty of these stars are known, with the vast majority of the population Very Fine and below. This piece is a happy exception, and bears a great pedigree, having come from the holdings of Amon Carter, Jr. There are a couple of light folds, but the all important gold color back is exceptionally vibrant and the overall look is that of a far higher grade specimen. In fact, in its current encasement it ranks as the second finest known replacement note for the Friedberg number according to the Track & Price census data.

Starting Bid: \$10,000



Remarkable \$500 1882 Gold

20071 Fr. 1216 \$500 1882 Gold Certificate PCGS About New 50.

A new serial number for the Track & Price Census that stood at 26 before this delightful piece made itself known. This is now the highest graded Fr. 1216 by ten grade points in that census and 15 grade points higher than any other that we have previously offered. It is truly a beauty with ideal inks and white paper. In fact, the orange ink on the back is especially bold. There is also strong embossing of the upper right serial number and the same holds true of the Treasury Seal where several of the individual stars are embossed. Our inspection through the encapsulation is not able to detect the minor handling seen by the third party grading service, but we do see one remarkable example that possesses both rarity and condition. Therefore, a strong bid is advised in order to take this great note home.

Starting Bid: \$50,000



High Denomination Rarity

20072 Fr. 1216 \$500 1882 Gold Certificate PMG Very Good 10 Net.

Only 26 Fr. 1216 notes are listed in Track & Price before tonight's auction. However, that figure can be lowered by the five pieces that are scattered amongst the collections of the San Francisco and Philadelphia Federal Reserve Banks, the Smithsonian Institution, and the ANA Museum. This example has been repaired, but the repairs are hard to see unless the note is "candled" before a light source. All in all, a high denomination Friedberg number that very few can own.

Starting Bid: \$4,000



Attractive \$500 1882 Gold

20073 Fr. 1216a \$500 1882 Gold Certificate PMG Very Fine 30.

A lovely note which has been off the market for nearly twenty years makes its presence known once again tonight. Less than 35 examples are enumerated in Track & Price today and this piece sits near the top in overall quality and eye appeal. It faces up quite nicely and is also well centered. The gold back which is typically faded on this issue has a deep orange tint that truly stands out. We feel this most attractive high denomination Gold Certificate should easily reach...

Starting Bid: \$17,500



New to the Census Fr. 1219e \$1,000 1907 Gold

20074 Fr. 1219e \$1,000 1907 Gold Certificate PMG Very Fine 25.

A new note that raises the census to just four dozen pieces. The number for collectors is actually smaller as four are locked away in the collection of the Federal Reserve Bank of San Francisco and one is held by the ANA Museum. This \$1,000 is an evenly circulated note with fewer folds than what is usually seen for this third party grade. It may have survived for us to enjoy today because it was a vault cash note for many years. Thus, it did not pick up a lot of folds, but was often counted. The minor restoration involves the sealing of small edge tears that are only seen when the note is "candled" before a light source.

Starting Bid: \$15,000



Excellent \$1,000 1922 Gold

20075 Fr. 1220 \$1,000 1922 Gold Certificate PCCS Extremely Fine 40.

A new note that becomes the 43rd enumerated note for Fr. 1220. However, one is impounded in the Smithsonian and five others are found in the collections of the Federal Reserve Banks of New York, Philadelphia, and San Francisco. This is one of the nicer examples in a comment free third party holder with just a few vertical folds, white paper, and a bright orange back that is in all of its glory. We last sold a PMG 35 EPQ in our April Central States Platinum Night auction for \$66,000. We feel this pretty piece should easily achieve or exceed that realization. Overall, an excellent note for an advanced or type collector.

Starting Bid: \$37,500



\$1,000 Gold PMG VF 20

20076 Fr. 1220 \$1,000 1922 Gold Certificate PMG Very Fine 20.

A \$1,000 Gold that has now made three auction appearances since 1988. Close inspection reveals a note with far fewer folds than what is usually seen at this grade level. Also, the example's appearance is of a vault cash note. It did not circulate much and therefore did not acquire many folds. It would be counted every day due to the fact that it was kept as vault cash by a bank. The orange ink on the back is nice for the assigned grade, too.

Starting Bid: \$7,500



Gem \$10,000 1900 Gold Certificate

20077 Fr. 1225h \$10,000 1900 Gold Certificate PCGS Gem New 65PPQ.

Redeemed and cancelled, as are all known notes of this issue. The surviving examples of this series all came from an incident in 1935, when there was a fire at a Post Office building in Washington, D.C. where cancelled examples of these notes were stored. Firefighters threw several stacks of these cancelled notes onto the sidewalks, where they were picked up by local passersby. This piece is punch cancelled, and unlike the vast majority of survivors, does not have the waterstaining which is near ubiquitous for this type. This type is quite common as AU and lower grade Unc, but the 65PPQ grade changes the game considerably. This particular note was cancelled by the United States Treasury on 9-6-1916.

Starting Bid: \$5,000

NATIONAL BANK NOTES



Tied for Finest Graded By Either Service

20078 San Jose, CA - \$10 1874 Fr. 1148 The Farmers National Gold Bank Ch. # 2158 PCGS Very Fine 20.

A rare \$10 National Gold Bank Note in this state of preservation. PCGS has graded only four examples in all grades combined, with two Apparents, a single Very Good 8, and this Very Fine 20, while PMG has graded only two Fr. 1148s, a Fine 15 and a Very Fine 20, both with the usually seen repairs. This pleasing example, has had some very minor restorations, but otherwise, is a very attractive note with excellent color and signatures along with a boldly defined gold coin vignette on the back.

Starting Bid: \$10,000



Second Ever Reported Fr. 423a

20079 Holyoke, MA - \$10 1875 Fr. 423a The City NB Ch. # 2430 PMG Fine 12.

This is only the second example of this exceptionally rare Friedberg number to be reported to the collecting public. It represents a number that exists only because of title changes or the order of a new denomination by an already existing bank, the latter being the reason for this institution's Fr. 423a examples. During its first seventeen years of operation, this Hampden County charter issued only 1875 \$5s until it decided to order a \$10-\$10-\$10-\$20 plate in 1896 when the Tillman-Morgan signature combination was in use. Just three banks in the entire country issued Tillman-Morgan 1875 \$10s and this is just the second specimen to surface from that trio. This offering has seen its share of circulation and has a minor repair in its left margin but, otherwise is very attractive for the grade with sharp ink colors and dark pen signatures. In a 2016 auction, the other known Fr. 423a example, which was called a raw XF/AU, fetched \$44,650. Now, for the desperate underbidders who are still seeking this Friedberg number, tonight's offering provides an opportunity to acquire this rare number at a substantially lower, although still expensive, price.

Starting Bid: \$7,500



Serial Number 1 Presentation Set - Ex-Grinnell, NB of Detroit Money Museum

20080 Detroit, MI - \$5 1929 Ty. 1 First NB Ch. # 10527 PCGS Superb Gem New 68PPQ.

A wonderful serial number 1 example that was part of the following set that we offered in our 2006 FUN auction where we said, "The first of three pieces in what is an extraordinary serial number 1 presentation set with a long and illustrious pedigree. These pieces first reached the collecting fraternity when they were obtained by Albert A. Grinnell, a pioneer currency collector who was a Detroit businessman, and who, during the 1930's and 1940's, formed the largest and most complete collection of U.S. currency ever assembled. When Grinnell's holdings were sold in a series of auctions from 1944 through 1946, these notes were obtained from those sales by the National Bank of Detroit, which placed them on display in its money museum, which became one of Detroit's leading tourist attractions in its heyday. Several years ago the bank was purchased by Bank One, and the museum was closed in a cost cutting move by the new owners. The collection was consigned to a local auctioneer, Craig Whitford of Lansing, and sold at a Michigan State Numismatic Society show. Fortunately for this generation of collectors, the set, representing one serial number one note from each of this bank's issuing titles in small size, remained together as each of the three items was obtained there by our consignor. Looking at each of these notes, it's very obvious that each note was specially cut from the serial number one sheets for Mr. Grinnell, as the margins on every side are huge, running right into the frame line of the notes above. PCGS obviously agrees that each piece offered here is extraordinary as well, grading this piece as Superb Gem New 68 PPQ. Whatever the realization here, which is certain to be considerable, it is our fervent hope that the successful bidder tonight will keep this historically significant set together for future generations to marvel at." This lovely note realized \$8,912.50 that evening and could certainly approach that level again.

Starting Bid: \$3,500



Serial Number 1 PCGS Superb Gem New 69PPQ Note

20081 Detroit, MI - \$5 1929 Ty. 1 First Wayne NB Ch. # 10527 PCGS Superb Gem New 69PPQ.

Again, from our 2006 FUN auction: "This is the second note in this marvelous triumvirate, and it is simply as nice as a small size national is ever likely to be, having received the only PCGS Superb Gem Uncirculated 69 PPQ designation that PCGS has awarded to any Series 1929 National to date. The collector who obtains this note tonight will know that while there may conceivably be a note out there which is as nice as this piece, it's unlikely that any note will ever be rated higher than this simply extraordinary serial number 1 example." This near-perfect specimen fetched \$12,075 almost fourteen years ago and, with today's emphasis on quality, will likely exceed that number this evening.

Starting Bid: \$5,000



Another Lovely PCGS Superb Gem New 68PPQ Serial Number 1

20082 Detroit, MI - \$5 1929 Ty. 1 First NB Ch. # 10527 PCGS Superb Gem New 68PPQ.

Once again, from our 2006 FUN auction: "The last of the three notes in this wonderful set, with this serial number 1 example once again gaining the coveted PCGS grade of Superb Gem New 68 PPQ. Like the two notes above, it's hard to see how any note can combine the immense eye appeal with the technical near-perfection that this specimen possesses. This piece bears the last of the three titles used on small size notes by this institution." The last specimen of this superlative trio brought \$9,200 in 2006 and our estimate here may well prove conservative if quality, rarity and desirability combine once again to produce their usual result.

Starting Bid: \$3,500

SMALL SIZE

LEGAL TENDER NOTES



Original Pack of 100 1953C \$5 Legal Stars Very Choice Crisp Uncirculated

20083 Fr. 1535★ \$5 1953C Legal Tender Notes. Original Pack of 100. Very Choice Crisp Uncirculated.

This is the only pack of 100 1953C \$5 Legal Tender stars that we have been able to auction. Each example is nicely preserved with paper originality and ideal color. The wide top margin at center displays a paper guide line marker on each piece, too. It is also uncanny that this pack has not acquired any edge handling. However, that fact only adds to its desirability. The BEP band has penned collector notations on the front, while the back has a pencilled name and a "3-2-64" date. (Total: 100 notes)

Starting Bid: \$10,000



Only \$5 1963 Legal Star Pack in Our Auctions

20084 Fr. 1536★ \$5 1963 Legal Tender Notes. Original Pack of 100. Gem Crisp Uncirculated.

Ninety consecutive stars are found in this pack along with ten other stars that have serial numbers that are either just a few or around 800 or so serials removed from being consecutive to the other 90 pieces. Our archives tell us that this is the first pack of \$5 1963 Legal Tender stars that we have been able to offer. More importantly, this is just the second pack of \$5 Legal stars to ever appear in any of our auctions. Embossing is extremely bold on each and every one of these 100 stars from the last series of \$5 Legals. The first note does have a few red teller ink drops from when this pack's first bank band was rubber stamped by a teller. That band has since been replaced with its current band. It has collector inscriptions handwritten on it and the "10 Consecutive" line is not correct. However, the fact that this \$5 Legal star pack is rare, is correct. (Total: 100 notes)

Starting Bid: \$6,250

FEDERAL RESERVE NOTES



Pack of 100 2003A \$2 San Francisco Federal Reserve Notes
Containing a Solid Five Serial Number Note

20085 Pack of 100 with Solid 5 Serial Fr. 1938-L \$2 2003A Federal Reserve Notes. Pack of 100.

Serial number 55555555 was found within this pack that has a Federal Reserve Bank band. Only the solid 5 serial piece was third party graded. The other 99 notes are held in a hard plastic holder. Eighteen of those examples sport serial numbers that have seven 5s. The serial 55555555 PMG Superb Gem Unc 68 EPQ note has four wide margins and also has spot on centering. The other 99 specimens all have a similar appearance and grade Gem CU or better save for the VF final note. (Total: 100 notes)

Starting Bid: \$6,000



Solid Serial Number 99999999 1934C \$10 FRN

20086 Fr. 2008-L \$10 1934C Wide Federal Reserve Note. PMG Gem Uncirculated 65 EPQ.

A strictly Gem L-B block note with solid serial number 99999999 and the only \$10 of any type that we have ever offered with the solid 99999999 serial. Any solid serial with all nines is a treasure, especially so when the solid nines adorn an early FRN. The example in this lot is a beautiful piece which clearly deserves its high grade, as the note's paper quality and originality is evident right through the PCGS holder. Since the current BEP practice is not to print notes with serials higher than 96000000, the number of notes bearing solid nines can go no higher than those already released, making these even scarcer in the future.

Starting Bid: \$4,000



Rare About New Boston District 1928 \$500

20087 Fr. 2200-A \$500 1928 Federal Reserve Note. PCGS About New 53.

Lauded as the rarest and most difficult district of Small Size \$500 FRN's, auction appearances for this Boston \$500 are quite infrequent and rarely is there an offering in this lofty grade. This piece displays great color and excellent centering, and has claims to an even higher grade. According to Track & Price, no finer PCGS-graded example has been offered at auction.

Starting Bid: \$3,000



Near-Gem 1928 Light Green Seal Kansas City \$500

20088 Fr. 2200-J \$500 1928 Light Green Seal Federal Reserve Note. PMG Choice Uncirculated 64.

A lovely example of this much scarcer light green seal variety for the Kansas City district whose lime green overprint contrasts nicely with its bright, white paper. Natural paper wave and embossing are easily seen and this near-Gem example would undoubtedly have received an EPQ designation if not for a solitary pinhole. This is also the sole finest Kansas City \$500 graded by PMG for either the 1928 Series dark or light green seal varieties. **Starting Bid: \$3,750**



Superb Gem New 67PPQ 1934 Boston District \$500 FRN

20089 Fr. 2201-A \$500 1934 Federal Reserve Note. PCGS Superb Gem New 67PPQ.

Any serious high denomination type set can consider a Superb Gem grade for the \$500 and \$1,000 denominations. Unlike type set offerings for coin collectors, offerings in this grade for collectors of 20th Century type notes are not as lucky, having to compete with a multitude of collectors for the few examples that come up each year. This is a solid example for the grade, with solid, even margins surrounding deeply printed devices. **Starting Bid: \$5,000**



Gem Richmond District 1934 \$500

20090 Fr. 2201-E \$500 1934 Federal Reserve Note. PMG Gem Uncirculated 65 EPQ.

One of the nicest Richmond district notes we have offered in some time. It is crackling fresh with boldly printed details and strong originality showing through the holder.

Starting Bid: \$2,500



Gem Uncirculated 66 EPQ Chicago District Light Green Seal \$500

20091 Fr. 2201-G \$500 1934 Light Green Seal Federal Reserve Note. PMG Gem Uncirculated 66 EPQ.

Broad margins frame this attractive note. The lime-green overprint is fully embossed and contrasts nicely against the bright white paper. The last example of a light green seal \$500 from Chicago at the "66" level to cross the auction block was in our 2016 ANA sale when another piece fetched \$9,400. Expect a similar realization or better this evening.

Starting Bid: \$4,000



PCGS 66PPQ \$500 St. Louis District Light Green Seal - None Finer at Either Service

20092 Fr. 2201-H \$500 1934 Light Green Seal Federal Reserve Note. PCGS Gem New 66PPQ.

PMG has not graded any \$500 St. Louis district light green seals higher than a 65, and it has been three years since a PCGS 66PPQ 1934 series \$500 St. Louis district light green seal note last appeared at public auction. Since then, Gem light green seal \$500s have rocketed into the currency collecting spotlight and have become highly prized trophy notes sought by both veteran and neophyte collectors alike. Gem 1934 LGS \$500 notes are extremely rare, with several districts having only one Gem known, and the small number of available Gem notes from the more "common" districts that used to appear occasionally at auction have largely disappeared into tight hands. A review of recent auction results in Track & Price for Gem 1934 light green seal \$500s shows that a Fr. 2211-C Philadelphia district in PCGS 66PPQ sold for \$11,500.00 and a Fr. 2211-D Cleveland in PMG 66 EPQ achieved \$12,000.00 in the same March 2018 auction. A quick look through our archives reveals that in 2013 we sold the very note we are selling here tonight for \$11,165.00. Three years later in our 2016 CSNS auction, we sold another \$500 St. Louis district light green seal Gem, also in a PCGS 66PPQ, for \$15,475.00. The eye appeal of this marvelous note which we offer this evening in our auction is without comparison boasting superb embossing, huge margins, brilliant inks, and striking originality. We feel this pretty note from the Stradivarius Collection will easily attain a five figure realization tonight but exactly where it will finish is anyone's guess.

Starting Bid: \$6,250



Finest Known 1934 Dallas District \$500 Star Note

20093 Fr. 2201-K★ \$500 1934 Federal Reserve Note. PMG About Uncirculated 53.

An amazing survivor especially so in this state of preservation bearing low serial number K00000734★ becoming only the fifth star note reported for the district on this series. Back in 2008, we sold which was at the time the finest known example in a 45 EPQ holder for \$29,900. Some third print embossing is still visible and the inks are quite dark. We will let the collectors decide the ultimate value for this rarity here this evening but base our estimate somewhat on that previous realization from almost eleven years ago.

Starting Bid: \$12,500



Superb New York District \$500

20094 Fr. 2202-B \$500 1934A Federal Reserve Note. PCGS Superb Gem New 67PPQ.

One of just a few notes from this district awarded the 67 grade with one being a PMG 67 EPQ which we sold way back in 2011 for just shy of \$10,000. It is incredibly well margined and possesses deep inking and a vibrant overprint while spot on centering is noticed on the back. All 1934A \$500 Federal Reserve Notes are Mules. A gorgeous example that is rivaled by few in overall quality and with continued upward pricing pressure at this grade level we see no reason why this note will not settle comfortably in the middle of our estimate.

Starting Bid: \$5,000



Pleasing, Near-Superb Atlanta District Mule \$500

20095 Fr. 2202-F \$500 1934A Federal Reserve Note. PCGS Gem New 66PPQ.

A pleasing, well margined Mule example from the Atlanta district. Notes at this level cannot be considered common especially since more than a couple of years have elapsed since we last offered an example in this same grade. It is a stunning piece also exhibiting great color and strong embossing.

Starting Bid: \$2,500



Near-Superb San Francisco District \$500

20096 Fr. 2202-L \$500 1934A Federal Reserve Note. PMG Gem Uncirculated 66 EPQ.

An elusive note especially so in this state of preservation. Over six years have elapsed since we last offered a PMG note as nice as this. This piece is impressive with even margins, great color, and strict originality. It has earned every point of its assigned grade and should be a welcome addition into the next collection it enters.

Starting Bid: \$2,500



Desirable AU 1928 Series Boston District \$1,000

20097 Fr. 2210-A \$1,000 1928 Federal Reserve Note. PMG About Uncirculated 50.

A lightly handled Boston \$1,000 in a grade that is seldom seen. It ranks up there as one of the nicest survivors with no new examples crossing the auction block in recent memory. It has the appearance of a note in a higher grade at first glance with a center fold more noticeable on the back the only mentionable circulation sustained.

Starting Bid: \$7,500



Choice CU Philadelphia District 1928 \$1,000

20098 Fr. 2210-C \$1,000 1928 Federal Reserve Note. PMG Choice Uncirculated 64.

Embossing and natural paper wave are revealed when this Philadelphia \$1,000 is inspected. The back label states that this note has, “as made paper wrinkles.” We are able to detect a couple with one running from the top frame line to the bottom edge. This is still the highest graded by a large margin Fr. 2210-C that has been a part of our auctions.

Starting Bid: \$3,000



Bright Near-Gem 1928 St. Louis District Light Green Seal \$1,000

20099 Fr. 2210-H \$1,000 1928 Light Green Seal Federal Reserve Note. PMG Choice Uncirculated 64.

A handsome Light Green Seal St. Louis Fed that was only held back from a Gem grade by its centering. This note also carries the low serial number for this variety listed in the Schwartz-Lindquist reference. The attractive lime green overprint has always enhanced the popularity of these notes.

Starting Bid: \$2,500



Lone Finest Known Kansas City District 1928 \$1,000 Federal Reserve Note

20100 Fr. 2210-J \$1,000 1928 Federal Reserve Note. PCGS Gem New 66PPQ.

Since the late 1980's when high denomination notes ceased being merely cash and started being bona fide collectables, Gem 1928 \$1,000s were quickly observed to be much rarer than their 1934/34A \$1,000s counterparts by the numismatic community. The advent of the currency grading companies provided undeniable evidence of this fact, as their census data confirms that 1928 Gem \$1,000s are indeed 8 to 10 times scarcer than Gem 1934/1934A \$1,000s. A review of population reports at the two major currency grading companies shows there have been no Gems graded for four districts of 1928 Thousands — Boston, New York, Minneapolis, and San Francisco. The Kansas City district, from which our present lot hails, also showed no Gems in its Pop Report with a lone AU 58 as Top-Pop until a few years ago, when the 66PPQ being offered here tonight and two additional 65PPQ Gem 1928 Kansas City \$1,000s fortuitously appeared as part of a Midwest estate. The 66PPQ here and one of the 65PPQs were sold immediately in private transactions to top collectors; the other 65PPQ sold at auction in October 2018 for \$20,400.00.

As we quickly approach the 2028 centennial of the first issuance of small size U.S. currency, gem \$1000 notes have become popular items on the radar of advanced collectors and investors, with recent auction realizations for gem Top Pop \$1000s bearing proof of their value and rarity. Prices realized for gem Top Pop \$1000s from rare districts have recently surged beyond \$50,000 twice. A Top Pop 1928 Cleveland \$1000 graded PCGS 67PPQ sold several years ago in a private transaction at a price rumored to be in the mid \$50s and a Top Pop 1934 Minneapolis \$1000 graded PMG 66 EPQ, sold in spirited bidding for \$57,600.00 at public auction in August 2018. Tonight's final hammer for the lovely note offered here will serve as testament to just how special this finest known Kansas City district, Series 1928 \$1000 Federal Reserve Note is.

Reserve: \$30,000



Lone Graded Uncirculated 1928 San Francisco \$1,000 by PMG

20101 Fr. 2210-L \$1,000 1928 Federal Reserve Note. PMG Uncirculated 61.

While PMG has graded 83 San Francisco \$1,000s for the 1928 Series, this is the sole piece to achieve an Uncirculated designation. The colors are bright and vivid and only its margins and a minor trace of handling hold it back from a much higher grade. This note is a must for any collector seeking to assemble a district set of Uncirculated 1928 \$1,000s.

Starting Bid: \$2,500



Wonderful PCGS Superb Gem New 67PPQ 1934 Boston \$1,000

20102 Fr. 2211-A \$1,000 1934 Federal Reserve Note. PCGS Superb Gem New 67PPQ.

A simply glorious Boston \$1,000 that is the sole finest graded by PCGS. It features ideal inks, natural paper wave, clearly original embossing and near-perfect centering. PMG has also graded one piece at this lofty level with none finer but that note has been off the market since its last auction appearance in 2010 where it fetched \$12,650, a number that seems cheap today. If you desire the finest for the collection or Registry Set, do not let this opportunity pass you by.

Starting Bid: \$8,750



Near-Gem Boston Light Green Seal \$1,000

20103 Fr. 2211-A \$1,000 1934 Light Green Seal Federal Reserve Note. PMG Choice Uncirculated 64 EPQ.

Natural paper wave and embossing earned this well preserved Boston LGS note its well deserved EPQ grade modifier. It has been almost four years since we have been able to offer a Boston LGS \$1,000 in a 64 EPQ holder. This near-Gem example also carries low four-digit serial number 8096. **Starting Bid: \$2,500**



Lovely Philadelphia \$1,000 1934 LGS PCGS 64

20104 Fr. 2211-C \$1,000 1934 Light Green Seal Federal Reserve Note. PMG Choice Uncirculated 64.

A very high grade for a Fr. 2211-C LGS as PMG has graded only one equal and three higher. The color contrast between the white paper and the light green ink is superb. Also, the black ink and the dark green ink on the back contribute to make for one very attractive example. **Starting Bid: \$3,000**



Gem Uncirculated 66 EPQ Dallas 1934 \$1,000 - Sole Finest Graded by PMG

20105 Fr. 2211-K \$1,000 1934 Mule Federal Reserve Note. PMG Gem Uncirculated 66 EPQ.

This note is from a short three number run of previously known and very high grade Dallas \$1,000s. However, of that trio or, for that matter, of any other Dallas \$1,000 for this series, this lovely note is the sole piece graded at this lofty level by PMG with none finer. It is nicely centered, on bright white paper, and is as attractive as the day it was printed. A beautiful high denomination note fit for the finest of collections.

Starting Bid: \$12,500



Delightful Dallas Light Green Seal \$1,000

20106 Fr. 2211-K \$1,000 1934 Light Green Seal Federal Reserve Note. PMG Choice Uncirculated 64 EPQ.

A nicely margined plate letter C example that has a paper guide marker just above the right edge. Inks are ideal and the paper is white on this delightful well preserved Dallas \$1,000. Also, the back reveals embossing of both serial numbers and the Treasury Seal. This is the highest graded example of this Friedberg number and variety by a large margin that we have been able to offer. The previous high was a PMG AU 50 piece that we auctioned in January, 2008.

Starting Bid: \$4,000



Serial Number 1 San Francisco District \$1,000

20107 Fr. 2211-L \$1,000 1934 Light Green Seal Federal Reserve Note. PMG Very Fine 25.

One of the highlights of tonight's auction, this is the only reported serial number 1 \$1,000 Federal Reserve Note outside of government hands, with the Smithsonian holding a number 1 from the Chicago district for both the 1928 and 1934 Series. Considering that only a combined total of 24 serial number 1's were printed for both series of \$1,000s, this piece is a true miracle of survival. Surprisingly, it was not tucked away at its issuance, but rather, spent some time in circulation before it was spotted by a sharp-eyed collector. PMG has noted some minor restoration of edge splits, but otherwise, it is a bright and attractive note with excellent color and an overall pleasing appearance. A true wonder note for either the number 1 collector or the specialist in \$1,000 FRNs.

Starting Bid: \$50,000



Tied for Finest Known at PMG

20108 Fr. 2212-D \$1,000 1934A Federal Reserve Note. PMG Gem Uncirculated 66 EPQ.

A handsome note from the Cleveland district which is tied with one other at this grade level for finest known at PMG. It certainly has earned its assigned grade as the attributes one would expect are certainly present. It is a well margined example boasting great color and excellent centering. Another premium high denomination note offered here this evening that will be welcomed into the next collection it enters tonight.

Starting Bid: \$7,500



Near-Gem Cleveland District \$1,000

20109 Fr. 2212-D \$1,000 1934A Federal Reserve Note. PCGS Very Choice New 64PPQ.

A pleasing note from the more elusive Cleveland district for high grade high denoms. With a touch more bottom margin, this gorgeous example would likely have been called a Gem. It has tremendous eye appeal and is enhanced by bright inks and great color.

Starting Bid: \$2,500



Beautiful Atlanta Fr. 2212-F PCGS 66PPQ

20110 Fr. 2212-F \$1,000 1934A Federal Reserve Note. PCGS Gem New 66PPQ.

A beautiful Atlanta \$1,000 that is well margined and also exhibits natural paper wave, dark inks, and white paper. The last Fr. 2212-F at this PCGS third party grade that crossed our auction block was in April, 2017 to the tune of \$6,462.50. An elusive note at this third party grade and above, as we have offered only three examples graded equal and just one higher over the years.

Starting Bid: \$3,500



PMG Gem 65 EPQ Atlanta \$1,000

20111 Fr. 2212-F \$1,000 1934A Federal Reserve Note. PMG Gem Uncirculated 65 EPQ.

This captivating Atlanta \$1,000 has natural paper wave and bold embossing. Add the ideal inks to the equation and you have one beautiful \$1,000 note.

Starting Bid: \$3,000



Well Preserved 65 EPQ Chicago \$1,000

20112 Fr. 2212-G \$1,000 1934A Federal Reserve Note. PMG Gem Uncirculated 65 EPQ.

A well margined and fully original thousand which carries the much desired PMG "Exceptional Paper Quality" designation. High denomination examples in this state of preservation will never be common and will always be sought after by collectors. In our recent Central States auction, we sold an identically graded specimen for \$5,640.

Starting Bid: \$2,500



PCCS Gem New 66PPQ 1934A St. Louis \$1,000

20113 Fr. 2212-H \$1,000 1934A Federal Reserve Note. PCCS Gem New 66PPQ.

The highest graded of this number to appear in any of our auctions, and it is a real beauty with embossing, full margins, and natural paper wave. A look at the PCCS statistics tells us that this is also the highest graded Fr. 2212-H that they have seen with not a single equal. This gorgeous St. Louis \$1,000 should realize in the range of...

Starting Bid: \$4,000



Original 1928 Atlanta District \$5,000 Green Seal

20114 Fr. 2220-F \$5,000 1928 Federal Reserve Note. PMG Very Fine 30 EPQ.

A bright and original 1928 Atlanta district \$5,000 FRN that bears the rarely seen modifier of EPQ for these sought after notes. Fewer than 20 examples are known across all districts for the series making them multiple times scarcer than their 1934 counterparts. Earlier this year in our Central States auction in Schaumburg we sold the serial number 77 example for the same Friedberg number in a PMG 35 with "Minor Restoration" comment for \$156,000. Substantial value consideration will be given this piece for being problem free, original, and with deep embossing present. We would not be surprised to see this note possibly approach the higher end of our estimate.

Starting Bid: \$85,000



Bright and Attractive Chicago \$5,000

20115 Fr. 2221-G \$5,000 1934 Federal Reserve Note. PMG Choice Extremely Fine 45.

Just 6,600 \$5,000 FRNs were printed for distribution through the Chicago Federal Reserve. Used mainly in bank to bank transactions, most were redeemed and sent back to the Fed for destruction. Any surviving \$5,000 or \$10,000 is a welcome sight for numismatists. This sharply printed and well margined offering is a new entrant to the reported Chicago \$5,000s as its serial number is not listed in either the Sullivan or Track & Price census. PMG has added a comment about a repair which appears to be nothing more than a closed edge split.

Starting Bid: \$40,000

WORLD WAR II EMERGENCY NOTE



Great Small Size Rarity \$10 1934 North Africa Star

20116 Fr. 2308★ \$10 1934 Mule North Africa Silver Certificate. PMG Very Fine 30.

A great small size rarity that was part of the emergency issue for United States troops involved in the North Africa and Mediterranean campaigns of World War II. We can personally vouch for only four examples of this rare star. This number is composed of our personal observations plus our own auction offerings as we are the only brick and mortar auction house that has ever offered a Fr. 2308★. The example in this lot is the highest graded of the three that PMG has inspected. It is a nice mid-grade note with ample margins in a comment free third party holder. Only the most elite of collectors can own an example of this rare star and we would not be surprised if our estimate proves to be on the conservative side.

Starting Bid: \$20,000

GOLD CERTIFICATES



Tied for Third Finest Known \$100 Gold Certificate Replacement Note

20117 Fr. 2405★ \$100 1928 Gold Certificate. PMG Choice Extremely Fine 45.

Great color is seen on this lovely replacement note that is tied at this lofty level with an XF 45 from PCGS with two others graded higher including a PMG 63 and AU 50 at PMG. A glance at Track & Price figures confirms that \$100 Gold Certificate stars are rare in all grades and excessively rare in higher grade ranges as just twelve notes are documented. This is a minuscule amount of survivors given the original print run of replacement notes was 36,000. The image of Independence Hall on the back aptly complements the portrait of Benjamin Franklin on the face on this high grade note. This piece exhibiting light circulation, bright white paper, and deep orange-gold overprint appears perfect for the assigned grade. Any estimate here is but a guess but we are comfortable this example will finish between...

Starting Bid: \$11,250



Bright and Original \$500 Gold Certificate

20118 Fr. 2407 \$500 1928 Gold Certificate. PMG Very Fine 30 EPQ.

This is a nice, bright high grade circulated example with a very pleasing overall appearance. Just as important, it is utterly original and untouched, with PMG assigning its EPQ designation, making it one of the very few notes in this grade range to carry the much desired EPQ appellation.

Starting Bid: \$6,250



Still Flashy \$500 Gold Certificate

20119 Fr. 2407 \$500 1928 Gold Certificate. PMG Very Fine 30.

The inks on the face of this lovely high denomination Gold Certificate remain quite flashy. An "Erasure" is noticed on the back.

Starting Bid: \$3,500



Problem Free Fine 12 \$500 Gold Certificate

20120 Fr. 2407 \$500 1928 Gold Certificate. PMG Fine 12.

A pleasing, problem free example in a popular collector grade that is elusive as such. The note would have been equally at home in a 15 holder based on its sharp appearance.

Starting Bid: \$2,500



Vibrant Gold Seal Small Size \$1,000

20121 Fr. 2408 \$1,000 1928 Gold Certificate. PMG Choice Extremely Fine 45.

This is an attractive example for the grade as it possesses bright paper, a deep orange-gold overprint, and problem free paper surfaces. These were the equivalent of 50 Double Eagles when current, but today, \$1,000 Golds are far rarer. It is a new addition to the census of the small size \$1,000 Golds, of which just 72 pieces are listed in the latest Track & Price census. Also, PMG has graded only a dozen examples higher than this pretty specimen. In the past two years, a couple of other PMG Choice Extremely Fine 45 notes have sold for an identical \$22,325.

Starting Bid: \$10,000



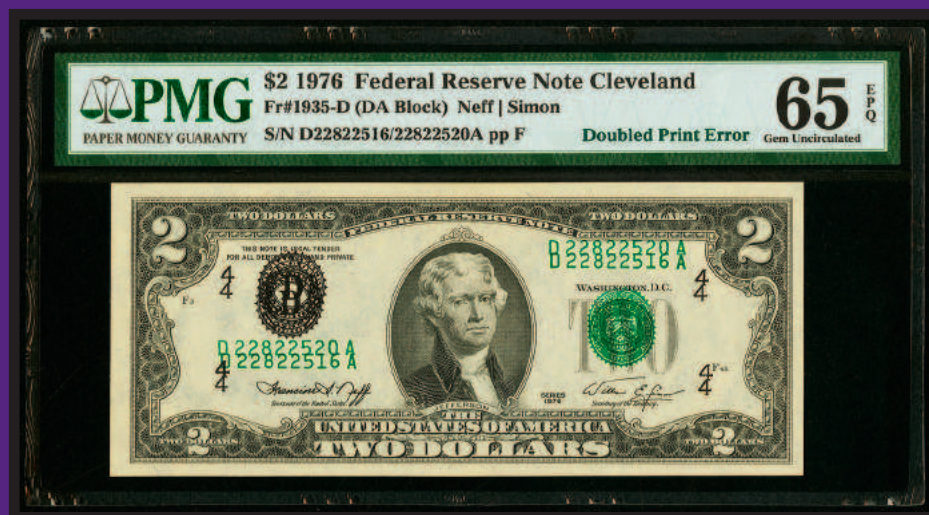
Popular 1928 \$1,000 Gold Certificate

20122 Fr. 2408 \$1,000 1928 Gold Certificate. PMG Choice Fine 15 Net.

A pleasing evenly handled example that makes mention of being "Repaired." The repair appears to be limited to just the upper right corner with the sharp overprint retaining a nice golden hue.

Starting Bid: \$3,000

ERROR NOTE



Dramatic \$2 1976 Cleveland Doubled Overprint

20123 Fr. 1935-D \$2 1976 Federal Reserve Note. PMG Gem Uncirculated 65 EPQ.

A dramatic doubled overprint is found on this Cleveland deuce. Desirability is an understatement as these error Cleveland \$2s is what is emblazoned in every serious error collector's mind when this error type is brought up. All of the examples known show two different serial numbers which are separated by either three (Sullivan 1st Edition page 208) or four (Bart 4th Edition page 129; Sullivan 2nd Edition page 200) digits. The serial numbers on this lovely error in this lot are separated by four digits. Also, the serial numbers are shifted vertically far enough apart so that all of the characters are distinct with just a tiny amount of overlapping. The district seal, the Treasury Seal, and all four "4" district indicators are doubled, too. This is the highest graded example without any equals of this error that has been in our auctions. Our archives also reveal that we have auctioned three other examples of this error in the third party grade of 64 EPQ/PPQ since April, 2016 and they realized prices in the \$14,100 to \$16,800 range. Look for this higher graded example to bring even more.

Starting Bid: \$7,500

End of Auction

Terms and Conditions of Auction

Auctioneer and Auction:

1. This Auction is presented by Heritage Auctions, a d/b/a/ of Heritage Auctioneers & Galleries, Inc., Heritage Auctions, Inc., Heritage Collectibles, Inc., Heritage Luxury Property Auctions, Inc., Heritage Numismatic Auctions, Inc., Heritage Vintage Sports Auctions, Inc., Currency Auctions of America, Inc., Heritage Auctions (HK) Limited, or Heritage Auctions – Europe Cooperatief U.A. as identified with the applicable licensing information on the title page of the catalog or on the HA.com Internet site (the “Auctioneer”). The Auction is conducted under these Terms and Conditions of Auction and applicable state and local law. Announcements and corrections from the podium and those made through the Terms and Conditions of Auctions appearing on the Internet at HA.com supersede those in the printed catalog.

Buyer's Premium:

2. All bids are subject to a Buyer's Premium which is in addition to the placed successful bid:
 - For Domain Names & Intellectual Property Auction lots the Buyer's Premium is fifteen percent (15%) subject to a minimum of \$19 per lot;
 - For US Animation Art, Comic, Currency, Movie Posters, Sports Collectibles, US Coin, and World & Ancient Coin Auction lots the Buyer's Premium is twenty percent (20%) subject to a minimum of \$19 per lot, except for Sports Collectibles lots which are subject to a minimum of \$14 per lot;
 - For Wine Auction lots the Buyer's Premium is twenty-three percent (23%) subject to a minimum of \$19 per lot;
 - For European Comic Art Auction lots, the Buyer's Premium is twenty-five percent (25%) subject to a minimum of \$19 per lot;
 - For lots in all other categories not listed above, the Buyer's Premium per lot is twenty-five percent (25%) on the first \$300,000 subject to a minimum of \$49 per lot, plus twenty percent (20%) of any amount between \$300,000 and \$3,000,000, plus twelve point five percent (12.5%) of any amount over \$3,000,000.

Auction Venues:

3. The following Auctions are conducted solely on the Internet: Heritage Weekly Internet Auctions (Coin, Currency, Comics, Jewelry, and Vintage Movie Posters); Heritage Monthly Internet Auctions (Sports, World Coins and Rare Wine). Signature® Auctions and Grand Format Auctions accept bids from the Internet, telephone, fax, or mail first, followed by a floor bidding session; HeritageLive! and real-time telephone bidding are available to registered clients during these auctions.

Bidders:

4. Any person participating or registering for the Auction agrees to be bound by and accepts these Terms and Conditions of Auction (“Bidder(s)”).
5. All Bidders must meet Auctioneer's qualifications to bid. Any Bidder who is not a client in good standing of the Auctioneer may be disqualified at Auctioneer's sole option and will not be awarded lots. Such determination may be made by Auctioneer in its sole and unlimited discretion, at any time prior to, during, or even after the close of the Auction. Auctioneer reserves the right to exclude any person from the auction.
6. If an entity places a bid, then the person executing the bid on behalf of the entity agrees to personally guarantee payment for any successful bid.

Credit:

7. In order to place bids, Bidders who have not established credit with the Auctioneer must either furnish satisfactory credit information (including two collectibles-related business references) or supply valid credit card information along with a social security number, well in advance of the Auction. Bids placed through our Interactive Internet program will only be accepted from pre-registered Bidders. Bidders who are not members of HA.com or affiliates should preregister at least 48 hours before the start of the first session (exclusive of holidays or weekends) to allow adequate time to contact references. Credit will be granted at the discretion of Auctioneer. Additionally Bidders who have not previously established credit or who wish to bid in excess of their established credit history may be required to provide their social security number or the last four digits thereof so a credit check may be performed prior to Auctioneer's acceptance of a bid. Check writing privileges and immediate delivery of merchandise may also be determined by pre-approval of credit based on a combination of criteria: HA.com history, related industry references, bank verification, a credit bureau report and/or a personal guarantee for a corporate or partnership entity in advance of the auction venue.

Bidding Options:

8. Bids in Signature® Auctions or Grand Format Auctions may be placed as set forth in the printed catalog section entitled “Choose your bidding method.” For auctions held solely on the Internet, see the alternatives on HA.com. Review at <http://www.ha.com/c/ref/web-tips.zx#biddingTutorial>.
9. Presentation of Bids: Non-Internet bids (including but not limited to podium, fax, phone and mail bids) are treated similar to floor bids in that they must be on-increment or at a half increment (called a cut bid). Any podium, fax, phone, or mail bids that do not conform to a full or half increment will be rounded up or down to the nearest full or half increment and this revised amount will be considered your high bid.
10. Auctioneer's Execution of Certain Bids. Auctioneer cannot be responsible for your errors in bidding, so carefully check that every bid is entered correctly. When identical mail or FAX bids are submitted, preference is given to the first received. To ensure the greatest accuracy, your written bids should be entered on the standard printed bid sheet and be received at Auctioneer's place of business at least two business days before the Auction start. Auctioneer is not responsible for executing mail bids or FAX bids received on or after the day the first lot is sold, nor Internet bids submitted after the published closing time; nor is Auctioneer responsible for proper execution of bids submitted by telephone, mail, FAX, email, Internet, or in person once the Auction begins. Bids placed electronically via the internet may not be withdrawn until your written request is received and acknowledged by Auctioneer (FAX: 214-409-1425); such requests must state the reason, and may constitute grounds for withdrawal of bidding privileges. Lots won by mail Bidders will not be delivered at the Auction unless prearranged.
11. Caveat as to Bid Increments. Bid increments (over the current bid level) determine the lowest amount you may bid on a particular lot. Bids greater than one increment over the current bid can be any whole dollar amount. It is possible under several circumstances for winning bids to be between increments, sometimes only \$1 above the previous increment. Please see: “How can I lose by less than an increment?” on our website. Bids will be accepted in whole dollar amounts only. No “buy” or “unlimited” bids will be accepted.

The following chart governs current bidding increments during any live auction session or components thereof (e.g. mail/fax bids and LiveProxy bidding) (see HA.com/c/ref/web-tips.zx#guidelines-increments).

Current Bid	Bid Increment	Current Bid	Bid Increment
< \$10	\$1	\$10,000 - \$19,999	\$1,000
\$10 - \$49	\$2	\$20,000 - \$49,999	\$2,000
\$50 - \$99	\$5	\$50,000 - \$99,999	\$5,000
\$100 - \$199	\$10	\$100,000 - \$199,999	\$10,000
\$200 - \$499	\$20	\$200,000 - \$499,999	\$20,000
\$500 - \$999	\$50	\$500,000 - \$999,999	\$25,000
\$1,000 - \$1,999	\$100	\$1,000,000 - \$1,999,999	\$50,000
\$2,000 - \$4,999	\$200	\$2,000,000 - \$9,999,999	\$100,000
\$5,000 - \$9,999	\$500	>= \$10,000,000	\$200,000

Note: Half-increment bidding is available prior to the live auction session.

12. If Auctioneer calls for a full increment, a bidder may request Auctioneer to accept a bid at half of the increment (“Cut Bid”) only once per lot. After offering a Cut Bid, bidders may continue to participate only at full increments. Off-increment bids may be accepted by the Auctioneer at Signature® Auctions and Grand Format Auctions. If the Auctioneer solicits bids other than the expected increment, these bids will not be considered Cut Bids.

Conducting the Auction:

13. Notice of the consignor's liberty to place bids on his lots in the Auction is hereby made in accordance with Article 2 of the Texas Business and Commercial Code. A “Minimum Bid” is an amount below which the lot will not sell. THE CONSIGNOR OF PROPERTY MAY PLACE WRITTEN “Minimum Bids” ON HIS LOTS IN ADVANCE OF THE AUCTION; ON SUCH LOTS, IF THE HAMMER PRICE DOES NOT MEET THE “Minimum Bid”, THE CONSIGNOR MAY PAY A REDUCED COMMISSION ON THOSE LOTS. “Minimum

Bids” are generally posted online several days prior to the Auction closing. For any successful bid placed by a consignor on his Property on the Auction floor, or by any means during the live session, or after the “Minimum Bid” for an Auction have been posted, we will require the consignor to pay full Buyer's Premium and Seller's Commissions on such lot.

14. The highest qualified Bidder recognized by the Auctioneer shall be the Buyer. In the event of a tie bid, the earliest bid received or recognized wins. In the event of any dispute between any Bidders at an Auction, Auctioneer may at his sole discretion reoffer the lot. Auctioneer's decision and declaration of the winning Bidder shall be final and binding upon all Bidders. Bids properly offered, whether by floor Bidder or other means of bidding, may on occasion be missed or go unrecognized; in such cases, the Auctioneer may declare the recognized bid accepted as the winning bid, regardless of whether a competing bid may have been higher. Auctioneer reserves the right after the hammer fall to accept bids and reopen bidding for bids placed through the Internet or otherwise. Regardless that bids are made on a Property, Auctioneer reserves the right to withdraw the Properties, or any part of the Properties, from the Auction at any time prior to the opening of any lot containing such Properties for sale by the auctioneer (crier), or in the case of Internet-only auctions when the bid opens for either live bidding online or the beginning of the extended period, if any.
15. Auctioneer reserves the right to refuse to honor any bid or to limit the amount of any bid, in its sole discretion. A bid is considered not made in “Good Faith” when made by an insolvent or irresponsible person, a person under the age of eighteen, or is not supported by satisfactory credit, collectibles references, or otherwise. Regardless of the disclosure of his identity, any bid by a consignor or his agent on a lot consigned by him is deemed to be made in “Good Faith.” Any person apparently appearing on the OFAC list is not eligible to bid.
16. Nominal Bids. The Auctioneer in its sole discretion may reject nominal bids, small opening bids, or very nominal advances. If a lot bearing estimates fails to open for 40–60% of the low estimate, the Auctioneer may pass the item or may place a protective bid on behalf of the consignor.
17. Lots bearing bidding estimates shall open at Auctioneer's discretion (generally 40%-60% of the low estimate). In the event that no bid meets or exceeds that opening amount, the lot shall pass as unsold.
18. All items are to be purchased per lot as numerically indicated and no lots will be broken. Auctioneer reserves the right to withdraw, prior to the close, any lots from the Auction.
19. Auctioneer reserves the right to rescind the sale in the event of nonpayment, breach of a warranty, disputed ownership, auctioneer's clerical error or omission in exercising bids and reserves, or for any other reason and in Auctioneer's sole discretion. In cases of nonpayment, Auctioneer's election to void a sale does not relieve the Bidder from their obligation to pay Auctioneer its fees (seller's and buyer's premium) and any other damages or expenses pertaining to the lot.
20. Auctioneer occasionally experiences Internet and/or Server service outages, and Auctioneer periodically schedules system downtime for maintenance and other purposes, during which Bidders cannot participate or place bids. If such outages occur, we may at our discretion extend bidding for the Auction. Bidders unable to place their Bids through the Internet are directed to contact Client Services at 877-HERITAGE (437-4824).
21. The Auctioneer, its affiliates, or their employees consign items to be sold in the Auction, and may bid on those lots or any other lots. Auctioneer or affiliates expressly reserve the right to modify any such bids at any time prior to the hammer based upon data made known to the Auctioneer or its affiliates. The Auctioneer may extend advances, guarantees, or loans to certain consignors.
22. The Auctioneer has the right to sell certain unsold items after the close of the Auction. Such lots shall be considered sold during the Auction and all these Terms and Conditions shall apply to such sales including but not limited to the Buyer's Premium, return rights, and disclaimers.

Payment:

23. All sales are strictly for cash in United States dollars (including U.S. currency, bank wire, cashier checks, travelers checks, eChecks, and bank money orders, and are subject to all reporting requirements). All deliveries are subject to good funds; funds being received in Auctioneer's account before delivery of the Purchases; and all payments are subject to a clearing period. Auctioneer reserves the right to determine if a check constitutes “good funds”: checks drawn on a U.S. bank are subject to a ten business day hold, and thirty days when drawn on an international bank. Clients with pre-arranged credit status may receive immediate credit for payments via eCheck, personal, or corporate checks. All others will be subject to a hold of 5 days, or more, for the funds to clear prior to releasing merchandise. (Ref. T&C item 7 Credit for additional information.) Payments can be made 24-48 hours post auction from the My Orders page of the HA.com website.
24. Payment is due upon closing of the Auction session, or upon presentation of an invoice. Auctioneer reserves the right to void an invoice if payment in full is not received within 7 days after the close of the Auction. In cases of nonpayment, Auctioneer's election to void a sale does not relieve the Bidder from their obligation to pay Auctioneer its fees (seller's and buyer's premium) on the lot and any other damages pertaining to the lot or Auctioneer. Alternatively, Auctioneer at its sole option, may charge a twenty (20%) fee based on the amount of the purchase. In either case the Auctioneer may offset amount of its claim against any monies owing to the Bidder or secure its claim against any of the Bidder's properties held by the Auctioneer.
25. Lots you purchase may be subject to taxes or fees imposed by various foreign taxing agencies. You are responsible for paying all foreign imposed taxes whether VAT, GST, etc. prior to delivery unless other arrangements are made in writing. Lots delivered to you, or your representative are subject to all applicable state and local taxes, unless appropriate permits are on file with Auctioneer. Should state sales tax become applicable in the state for delivery prior to delivery of the property on the invoice, the bidder agrees to pay all applicable state sales tax as required by the delivery state as of the shipping date. Bidder agrees to pay Auctioneer the actual amount of tax due in the event that sales tax is not properly collected due to: 1) an expired, inaccurate, or inappropriate tax certificate or declaration, 2) an incorrect interpretation of the applicable statute, 3) or any other reason. The appropriate form or certificate must be on file at and verified by Auctioneer five days prior to Auction, or tax must be paid; only if such form or certificate is received by Auctioneer within 4 days after the Auction can a refund of tax paid be made. Lots from different Auctions may not be aggregated for sales tax purposes.
26. In the event that a Bidder's payment is dishonored upon presentment(s), Bidder shall pay the maximum statutory processing fee set by applicable state law. If you attempt to pay via eCheck and your financial institution denies this transfer from your bank account, or the payment cannot be completed using the selected funding source, you agree to complete payment using your credit card on file.
27. If any Auction invoice submitted by Auctioneer is not paid in full when due, the unpaid balance will bear interest at the highest rate permitted by law from the date of invoice until paid. Any invoice not paid when due will bear a three percent (3%) late fee on the invoice amount. If the Auctioneer refers any invoice to an attorney for collection, the buyer agrees to pay attorney's fees, court costs, and other collection costs incurred by Auctioneer. If Auctioneer assigns collection to its in-house legal staff, such attorney's time expended on the matter shall be compensated at a rate comparable to the hourly rate of independent attorneys.
28. In the event a successful Bidder fails to pay any amounts due, Auctioneer reserves the right to sell the lot(s) securing the invoice to any underbidders in the Auction that the lot(s) appeared, or at subsequent private or public sale, or relist the lot(s) in a future auction conducted by Auctioneer. A defaulting Bidder agrees to pay for the reasonable costs of resale (including a 15% seller's commission, if consigned to an auction conducted by Auctioneer). The defaulting Bidder is liable to pay any difference between his total original invoice for the lot(s), plus any applicable interest, and the net proceeds for the lot(s) if sold at private sale or the subsequent hammer price of the lot(s) less the 15% seller's commissions, if sold at an Auctioneer's auction.
29. Auctioneer reserves the right to require payment in full in good funds before delivery of the merchandise.
30. Auctioneer shall have a lien against the merchandise purchased by the buyer to secure payment of the Auction invoice. Auctioneer is further granted a lien and the right to retain possession of any other property of the buyer then held by the Auctioneer or its affiliates to secure payment of any Auction invoice or any other amounts due the Auctioneer or affiliates from the buyer. With respect to these lien rights, Auctioneer shall have all the rights of a secured creditor under Article 9 of the Texas Uniform Commercial Code, including but not limited to the right of sale (including a 15% seller's commission, if consigned to an auction conducted by Auctioneer). In addition, with respect to payment of the Auction invoice(s), the buyer waives any and all rights of offset he might otherwise have against the Auctioneer and the consignor of the merchandise included on the invoice. If a Bidder owes Auctioneer or its affiliates on any account, Auctioneer and its affiliates shall have

the right to offset such unpaid account by any credit balance due Bidder, and it may secure by possessory lien any unpaid amount by any of the Bidder's property in their possession.

31. Title shall not pass to the successful Bidder until all invoices are paid in full. It is the responsibility of the buyer to provide adequate insurance coverage for the items once they have been delivered to a common carrier or third-party shipper.

Delivery, Shipping, and Handling Charges:

32. Buyer is liable for shipping, handling, registration, and renewal fees, if any. Please refer to Auctioneer's website HA.com/c/shipping.zx for the latest charges or call Auctioneer. Auctioneer is unable to combine purchases from other auctions or affiliates into one package for shipping purposes. Lots won will be shipped in a commercially reasonable time after payment in good funds for the merchandise and the shipping fees is received or credit extended, except when third-party shipment occurs. Buyer agrees that Service and Handling charges related to shipping items which are not pre-paid may be charged to the credit card on file with Auctioneer.

33. Successful international Bidders shall provide written shipping instructions, including specified customs declarations, to the Auctioneer for any lots to be delivered outside of the United States. NOTE: Declaration value shall be the item(s) hammer price together with its buyer's premium and Auctioneer shall use the correct harmonized code for the lot. Domestic Buyers on lots designated for third-party shipment must designate the common carrier, accept risk of loss, and prepay shipping costs.

34. All shipping charges will be borne by the successful Bidder. On all shipments in which Heritage charges the Delivery, Handling, and Transit Fee *infra*, any risk of loss during shipment will be borne by Heritage until the shipping carrier's confirmation of delivery to the address of record in Auctioneer's file, this is the "Secure Location". A common carrier's confirmation is conclusive to prove delivery to Bidder; if the client has a Signature release on file with the carrier, the package is considered delivered without Signature. Auctioneer shall arrange, select, and engage common carriers and other transportation vendors on your behalf. Transit services are subject to the following terms and conditions:

- a. **Scope of Transit Services:** Your properties for transit will be insured under one or more insurance policies issued by an authorized broker to Auctioneer. The properties will be insured for the invoice price of the properties (hammer price plus Buyer's Premium) ("Insured Value"). For each shipment, you will provide a Secure Location to which the items will be delivered. NOTICE: **Auctioneer is neither an insurance company nor a common carrier of any type.**
- b. **Auctioneer's Compensation for Transit Services:** Auctioneer will provide transit services to Buyer for ¼ of 1% of the Insured Value, plus packaging and handling fees and fees for the common carrier (collectively, "Delivery, Handling, and Transit Fee"). You agree to pay Delivery, Handling, and Transit Fee and comply with all terms of payment as set forth in paragraphs 23 to 31 of this Agreement.

- c. **Auctioneer's Limitation of Liability for Transit Services:** You understand and agree that Auctioneer's liability for loss of or damage to the items, if any, ends when the items have been delivered to the Secure Location, and Auctioneer has received evidence of delivery. If you claim that any property has sustained loss or damage during transit, you must report any such loss or damage to Auctioneer within seventy-two (72) hours of the delivery date. Your recovery for loss of or damage to any property is limited to the lesser of actual cash value of the property or the Insured Value. **Under no circumstances is Auctioneer liable for consequential or punitive damages.**

35. It shall be the responsibility for the successful Bidder to arrange pick-up or shipping in a timely manner (within 10 days). Held Lots will be subject to storage and moving charges, including a \$100 administration fee plus \$10 daily storage for larger items and \$5 daily for smaller items (storage fee per item) after 35 days. In the event the Lot is not removed within ninety days, the Lot may be offered for sale to recover any past due storage or moving fees, including a 20% Seller's Commission.

36. A. NOTICE OF CITES COMPLIANCE: The purchase of items made from protected species: Any property made of or incorporating endangered or protected species or wildlife may have import and/or export restrictions established by the Convention of International Trade in Endangered Species of Wild Fauna and Flora (CITES) in various countries and domestically. Plant and animal properties include (but are not limited to) items made of (or including) Brazilian rosewood, ivory, whalebone, turtle shell, coral, crocodile, alligator, lizard, or other wildlife. These items may not be available to ship internationally or, in some cases, domestically. Domestic bans and restrictions exist in these states: 1) California state law prohibits the importation of any product containing Python skin into the State of California, thus no lot containing Python skin will be shipped to or invoiced to a person or company in California. 2) Fossil Ivory is currently banned or restricted in 5 U.S. states: New York, New Jersey, California, Hawaii, and New Mexico. By placing a bid, the bidder acknowledges that he or she is aware of any restriction in their country or place of residence and takes responsibility for: 1) obtaining all information on such restricted items for both export and import; 2) obtaining all such licenses and/or permits. Delay, failure, or incapacity to obtain any such license or permit does not relieve the buyer of timely payment or afford them the capacity to void their purchase or payment. Lots containing potentially regulated wildlife material are noted in the description as a convenience to our clients. Heritage Auctions does not accept liability for errors or failure to mark lots containing protected or regulated species. For further assistance, please contact client services at 1-800-872-6467.

36. B. California State law prohibits the importation of any product containing Python skin into the State of California, thus no lot containing Python skin will be shipped to or invoiced to a person or company in California.

36. C. Auctioneer shall not be liable for any loss caused by or resulting from:

- a. Seizure or destruction under quarantine or Customs regulation, or confiscation by order of any Government or public authority, or risks of contraband or illegal transportation of trade, or
- b. Breakage of statuary, marble, glassware, bric-a-brac, porcelains, jewelry, and similar fragile articles.

37. Any request for shipping verification for undelivered packages must be made within 30 days of shipment by Auctioneer.

Cataloging, Warranties, and Disclaimers:

38. NO WARRANTY, WHETHER EXPRESSED OR IMPLIED, IS MADE WITH RESPECT TO ANY DESCRIPTION CONTAINED IN THIS AUCTION OR ANY SECOND OPINE. Any description of the items or second opine contained in this Auction is for the sole purpose of identifying the items for those Bidders who do not have the opportunity to view the lots prior to bidding, and no description of items has been made part of the basis of the bargain or has created any express warranty that the goods would conform to any description made by Auctioneer. Color variations can be expected in any electronic or printed imaging, and are not grounds for the return of any lot. NOTE: Auctioneer, in specified auction venues, for example, Fine Art, may have express written warranties and you are referred to those specific terms and conditions.

39. Auctioneer is selling only such right or title to the items being sold as Auctioneer may have by virtue of consignment agreements on the date of auction and disclaims any warranty of title to the Property. Auctioneer disclaims any warranty of merchantability or fitness for any particular purposes. All images, descriptions, sales data, and archival records are the exclusive property of Auctioneer, and may be used by Auctioneer for advertising, promotion, archival records, and any other uses deemed appropriate.

40. Translations of foreign language documents may be provided as a convenience to interested parties. Auctioneer makes no representation as to the accuracy of those translations and will not be held responsible for errors in bidding arising from inaccuracies in translation.

41. Auctioneer disclaims all liability for damages, consequential or otherwise, arising out of or in connection with the sale of any Property by Auctioneer to Bidder. No third party may rely on any benefit of these Terms and Conditions and any rights, if any, established hereunder are personal to the Bidder and may not be assigned. Any statement made by the Auctioneer is an opinion and does not constitute a warranty or representation. No employee of Auctioneer may alter these Terms and Conditions, and, unless signed by a principal of Auctioneer, any such alteration is null and void.

42. Auctioneer shall not be liable for breakage of glass or damage to frames (patent or latent); such defects, in any event, shall not be a basis for any claim for return or reduction in purchase price.

Release:

43. In consideration of participation in the Auction and the placing of a bid, Bidder expressly releases Auctioneer, its officers, directors and employees, its affiliates, and its outside experts that provide second opinions, from any and all claims, cause of action, chose of action, whether at law or equity or any arbitration or mediation rights existing under the rules of any professional society or affiliation based upon the assigned description, or a derivative theory, breach of warranty express or implied, representation or other matter set forth within these Terms and Conditions of Auction or otherwise. In the event of a claim, Bidder agrees that such rights and

privileges conferred therein are strictly construed as specifically declared herein; e.g., authenticity, typographical error, etc. and are the exclusive remedy. Bidder, by non-compliance to these express terms of a granted remedy, shall waive any claim against Auctioneer.

44. Notice: Some Property sold by Auctioneer are inherently dangerous e.g. firearms, cannons, and small items that may be swallowed or ingested or may have latent defects all of which may cause harm to a person. Purchaser accepts all risk of loss or damage from its purchase of these items and Auctioneer disclaims any liability whether under contract or tort for damages and losses, direct or consequential, and expressly disclaims any warranty as to safety or usage of any lot sold.

Dispute Resolution and Arbitration Provision:

45. By placing a bid or otherwise participating in the auction, Bidder accepts these Terms and Conditions of Auction, and specifically agrees to the dispute resolution provided herein. Consumer disputes shall be resolved through court litigation which has an exclusive Dallas, Texas venue clause and jury waiver. Non-consumer dispute shall be determined in binding arbitration which arbitration replaces the right to go to court, including the right to a jury trial.

46. Auctioneer in no event shall be responsible for consequential damages, incidental damages, compensatory damages, or any other damages arising or claimed to be arising from the auction of any lot. In the event that Auctioneer cannot deliver the lot or subsequently it is established that the lot lacks title, or other transfer or condition issue is claimed, in such cases the sole remedy shall be limited to rescission of sale and refund of the amount paid by Bidder; in no case shall Auctioneer's maximum liability exceed the high bid on that lot, which bid shall be deemed for all purposes the value of the lot. After one year has elapsed, Auctioneer's maximum liability shall be limited to any commissions and fees Auctioneer earned on that lot.

47. In the event of an attribution error, Auctioneer may at its sole discretion, correct the error on the Internet, or, if discovered at a later date, to refund the buyer's purchase price without further obligation.

48. Exclusive Dispute Resolution Process: All claims, disputes, or controversies in connection with, relating to and/or arising out of your Participation in the Auction or purchase of any lot, any interpretation of the Terms and Conditions of Sale or any amendments thereto, any description of any lot or condition report, any damage to any lot, any alleged verbal modification of any term of sale or condition report or description and/or any purported settlement whether asserted in contract, tort, under Federal or State statute or regulation or any claim made by you of a lot or your Participation in the auction involving the auction or a specific lot involving a warranty or representation of a consignor or other person or entity including Auctioneer { which claim you consent to be made a party} (collectively, "Claim") shall be exclusively heard by, and the claimant (or respondent as the case may be) and Heritage agree consent to the Claim being presented in a confidential binding arbitration before a single arbitrator administered by and conducted under the rules of, the American Arbitration Association. The locale for all such arbitrations shall be Dallas, Texas. The arbitrator's award may be enforced in any court of competent jurisdiction. If a Claim involves a consumer, exclusive subject matter jurisdiction for the Claim is in the State District Courts of Dallas County, Texas and the consumer consents to subject matter and in personam jurisdiction; further CONSUMER EXPRESSLY WAIVES ANY RIGHT TO TRIAL BY JURY. A consumer may elect arbitration as specified above. Any claim involving the purchase or sale of numismatic or related items may be submitted through binding PNG arbitration. Any Claim must be brought within two (2) years of the alleged breach, default or misrepresentation or the Claim is waived. Exemplary or punitive damages are not permitted and are waived. A Claim is not subject to class certification. Nothing herein shall be construed to extend the time of return or conditions and restrictions for return. This Agreement and any Claim shall be determined and construed under Texas law. The prevailing party (a party that is awarded substantial and material relief on its damage claim based on damages sought vs. awarded or the successful defense of a Claim based on damages sought vs. awarded) may be awarded its reasonable attorneys' fees and costs.

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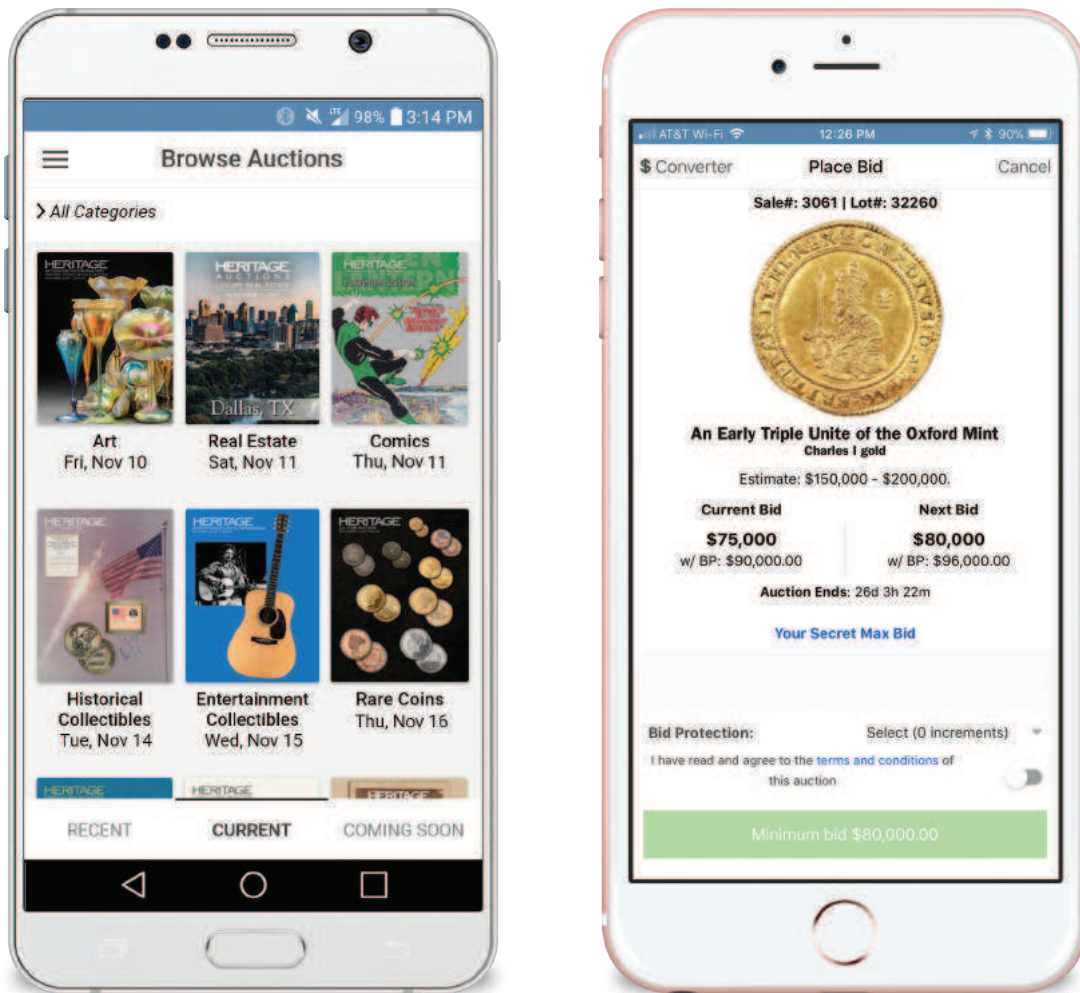
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Paul Minshull, Chief Operating Officer
Todd Imhof, Executive Vice President
Kathleen Guzman, Managing Director, New York

¹ Primary office location: New York

² Primary office location: Beverly Hills

³ Primary office location: San Francisco

⁴ Primary office location: Hong Kong

⁵ Primary office location: Palm Beach

⁶ Primary office location: Chicago

⁷ Primary office location: London

⁸ Primary office location: Amsterdam

NUMISMATICS	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
US Coins (Summer Fun)	Orlando	July 11-12 & 14-15, 2019	Closed
US Coins & Currency, World Coins & Paper Money (ANA)	Chicago	August 13-15 & 18-20, 2019	Closed
US Coins & Currency, World Coins & Paper Money	Long Beach, CA	September 4-6, 2019	July 15, 2019
World Coins & Paper Money	Hong Kong	December 4-6, 2019	October 14, 2019
FINE & DECORATIVE ARTS	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Nature and Science	Dallas	July 20, 2019	Closed
Urban Art	Chicago	July 22-23, 2019	Closed
The Rock H. Currier Collection of Fine Minerals	Dallas	August 26, 2019	Closed
Art of the American West	Dallas	September 7, 2019	Closed
Fine and Decorative Arts	Dallas	September 13, 2019	Closed
Asian Art	New York	September 17, 2019	Closed
Nature & Science	Dallas	September 28, 2019	August 2, 2019
Design	Dallas	September 30, 2019	July 22, 2019
Photographs	New York	October 4, 2019	August 1, 2019
Illustration Art	Dallas	October 15, 2019	August 12, 2019
American Art	Dallas	November 1, 2019	August 30, 2019
Texas Art	Dallas	November 2, 2019	August 30, 2019
Urban Art	Dallas	November 4, 2019	September 3, 2019
Silver & Vertu	Dallas	November 5, 2019	August 26, 2019
Modern & Contemporary	Beverly Hills	November 19, 2019	September 17, 2019
Lalique & Art Glass	Dallas	November 21, 2019	September 11, 2019
Ethnographic Art: American Indian, Pre-Columbian & Tribal	Dallas	November 22, 2019	September 12, 2019
European Art	Dallas	December 6, 2019	October 4, 2019
MEMORABILIA & COLLECTIBLES	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Sports Cards	Dallas	July 18-19, 2019	Closed
Musical Instruments	Dallas	July 19, 2019	Closed
Entertainment and Music	Dallas	July 20-21, 2019	Closed
Vintage Posters	Dallas	July 27-28, 2019	Closed
Comics and Comic Art	Dallas	August 1-3, 2019	Closed
The Glynn and Suzanne Crain Science Fiction Collection	Dallas	August 13-14, 2019	Closed
Sports Platinum	Dallas	August 17, 2019	Closed
Sports	Dallas	September 19, 2019	July 29, 2019
Entertainment	Dallas	November 16, 2019	September 18, 2019
Musical Instruments	Dallas	November 17, 2019	September 26, 2019
Comics	Dallas	November 21-22, 2019	October 1, 2019
Movie Posters	Dallas	November 23, 2019	October 1, 2019
Animation Art	Beverly Hills	December 14-15, 2019	October 31, 2019
HISTORICAL COLLECTIBLES	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Space Exploration Featuring The Armstrong Family Collection Part III	Dallas	July 16, 2019	Closed
Rare Books	New York	September 4, 2019	July 15, 2019
Rare Books	New York	September 5, 2019	Closed
Americana & Political	Dallas	September 21-22, 2019	Closed
Historical Manuscripts	Dallas	October 23, 2019	September 3, 2019
American & Political	Dallas	November 2, 2019	September 11, 2019
Space Exploration	Dallas	November 14-15, 2019	September 23, 2019
Arms & Armor and Civil War & Militaria	Dallas	December 8, 2019	October 17, 2019
LUXURY LIFESTYLE	LOCATION	AUCTION DATES	CONSIGNMENT DEADLINE
Luxury Real Estate	Kansas City, MO	August 12, 2019	Closed
Fine and Rare Wine	Beverly Hills	September 20-21, 2019	July 30, 2019
Fine Jewelry & Luxury Accessories	Beverly Hills	September 22-23, 2019	July 10, 2019
Timepieces	New York	December 11, 2019	September 26, 2019
Fine & Rare Wine	Beverly Hills	December 6-7, 2019	October 15, 2019

HA.com/Consign | 877-HERITAGE (437-4824) | **Visit HA.com/Auctions for the most current schedule. All dates are subject to change.**

ONLINE AUCTIONS

Comics | 6 pm Sundays
Sports | 10 pm Sundays
Vintage Posters | 6 pm Sundays
U.S. Coins | 5 pm Tuesdays
World Coins | 8 pm Last Sundays
Currency | 7 pm Tuesdays

Fine & Decorative Arts | Monthly
Prints & Multiples | 2 pm Wednesdays
Nature & Science | 8 pm Thursdays
Wine | 9 pm First Thursdays
Jewelry | 9 pm Tuesdays

All times above are Central Standard Time Zone when the live online auction begins.

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